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## 2015/16 HAZELWOOD MINE FIRE INQUIRY

## MORWELL

## THURSDAY, 3 SEPTEMBER 2015

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Telephone: 8628 5555 Facsimile: 9642 5185 1 <BRUCE CONRAD ARMSTRONG, recalled:

2 <IAN ROBERT GORDON, recalled:

3 <ADRIAN GERARD BARNETT, recalled:

4 CHAIRMAN: Yes, Mr Rozen.

MR ROZEN: Mr Chairman and members of the board, good morning. 5 I apologise for the delay in starting this morning. We've 6 7 had a few technical problems but they've been overcome now. If I can just confirm the state of play. Everyone will 8 have noticed that there are two experts in the hot tub, 9 where yesterday there were three. I understand the 10 position with Associate Professor Barnett is that he had to 11 12 return home to Queensland to attend to a family difficulty 13 and he did that yesterday afternoon, but we've been able to 14 contact him by phone. Can I just confirm, Associate 15 Professor Barnett, that you can hear me.

16 ASSOC. PROF BARNETT: I can hear you loud and clear.

MR ROZEN: Thank you very much. Dr Flander, you can also hear me from Melbourne?

19 DR FLANDER: Yes, thank you.

20 MR ROZEN: Thanks very much. Professor Armstrong, if I could 21 commence by dealing with what is an administrative matter that I neglected to deal with yesterday and should just 22 23 tidy up now. In the folder in front of you, behind tab 15 - just while you're finding that, for the benefit of the 24 parties, the document I'm going to refer the witness to is 25 EXP.0008.001.0009. Do you see there, professor, a letter 26 dated 25 August 2015 addressed to you from Ms Stansen, the 27 principal legal adviser for the Inquiry? 28

29 PROF. ARMSTRONG: (indistinct)

30 MR ROZEN: There is a problem with hearing Professor Armstrong, 31 apparently. Professor Armstrong, you've identified the

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1 letter at - the final digit is 5, is that right? 2 PROF. ARMSTRONG: Yes. 3 MR ROZEN: Is that a letter that was sent to you, inviting you to the meeting of experts on 31 August 2015 and to give 4 evidence in this public hearing? 5 PROF. ARMSTRONG: Yes, it is. 6 7 MR ROZEN: I tender that letter as part of Exhibit 30, if the board pleases. Professor Gordon, in the same folder there 8 should be, behind tab 15, a letter to you of the same date, 9 25 August 2015. Do you see that? 10 PROF. GORDON: Yes, I do. 11 12 MR ROZEN: Can you confirm for us that is a copy of a letter 13 that was sent to you, also inviting you to the meeting and 14 to give evidence at the public hearing? 15 PROF. GORDON: Yes, that's right. 16 MR ROZEN: I tender that letter also as part of Exhibit 30. 17 #EXHIBIT 30 - (Addition) Letter to Professor Gordon dated 25/8/15. 18 MR ROZEN: Dr Flander, just for completeness - I know you don't 19 have the letter in front of you - but have you been 20 following the questions that I've just been asking your 21 colleagues? Did you also receive a letter dated 25 August 22 23 2015, inviting you to attend the meeting and to give evidence at the public hearing? 24 DR FLANDER: I do have the letter in front of me and I did 25 26 receive it, thank you. 27 MR ROZEN: I'll ask that that be added to Exhibit 30, please. #EXHIBIT 30 - (addition) Letter to Dr Flander dated 25/8/15 28 MR ROZEN: Finally, Associate Professor Barnett, I'm hoping you 29 can still hear us. 30 31 ASSOC. PROF BARNETT: Yes. 503

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1	MR ROZEN: Did you also receive a letter of that date, 25 August
2	2015, with the same content?
3	ASSOC. PROF BARNETT: Yes.
4	MR ROZEN: I don't suppose you have got it in front of you, by
5	any chance, do you, associate professor?
6	ASSOC. PROF BARNETT: Yes, "This letter confirms arrangements
7	made recently" is how it starts.
8	MR ROZEN: If I could ask for that also to be added to
9	Exhibit 30.
10	CHAIRMAN: Yes.
11	#EXHIBIT 30 - (Addition) Letter to Associate Professor Barnett dated 25/8/15.
12	uateu 23/8/13.
13	MR ROZEN: The final tendering matter that I should deal with at
14	this stage concerns correspondence that passed between the
15	ABC and Voices of the Valley on the one hand and Associate
16	Professor Barnett on the other. These emails have been
17	produced overnight by Associate Professor Barnett, at the
18	request of the legal representatives of GDF Suez, and what
19	we have, although I don't physically have it in front of
20	me, but the parties have been provided with copies of
21	various emails. Associate Professor Barnett, can you
22	confirm that you located and provided several emails of
23	that description to the Inquiry since you gave your
24	evidence yesterday?
25	ASSOC. PROF BARNETT: I did, yes.
26	MR ROZEN: I seek now to tender that as a bundle of emails of
27	that description, if that could perhaps be the next
28	exhibit.
29	CHAIRMAN: Yes.
30	#EXHIBIT 31 - Bundle of emails
31	MR ROZEN: With those matters being dealt with, if I could
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1 address my first question to you, Professor Armstrong, and 2 if I could do so by reference to the joint report, which is Exhibit 30, which you'll find also behind tab 15. If you 3 could have that in front of you, please. 4 PROF. ARMSTRONG: Yes, I have it in front of me. 5 The questioning yesterday was really principally 6 MR ROZEN: directed to what is identified in the joint report, and 7 also in your individual report, as question 1, "Was there 8 an increase in mortality in Latrobe Valley during the coal 9 mine fire in 2014?", do you agree with that? 10 PROF. ARMSTRONG: Yes. 11 12 MR ROZEN: As you observed yesterday, so far as this Inquiry is 13 concerned, that is really the first part of the question 14 and that question is answered in the joint report by the general agreement of those who participated in the meeting 15 in the conclusions that are listed under that question, 16 would you agree with that? 17 PROF. ARMSTRONG: Yes. 18 19 MR ROZEN: If I could turn then to the second question, which is what environmental exposures might have increased mortality 20 in Latrobe Valley during the coal mine fire in 2014. You, 21 in your report, and the group of experts, in the joint 22 23 report, have identified four possible exposures that might have had that result, is that right? 24 PROF. ARMSTRONG: Yes. 25 The four exposures you've identified are the 26 MR ROZEN: associated bushfires, fine particle air pollution, carbon 27 monoxide air pollution and high temperature. 28 PROF. ARMSTRONG: Yes. 29 MR ROZEN: I think I understood your evidence yesterday to be 30 31 that in answering the second part of this question, that is

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1 the environmental exposures, the statistical information 2 provides part of the answer but not the whole answer. 3 PROF. ARMSTRONG: What the statistical information provides, and we discussed yesterday, really is an answer to the question 4 as to whether or not there is an increase in mortality. 5 There is additional statistical information provided that 6 7 addresses each of the subquestions under what environmental exposures might have increased mortality in Latrobe Valley, 8 but in addition to that, there will always be additional 9 considerations to take into account in interpreting that 10 statistical information in terms of a potential cause and 11 12 effect relationship.

MR ROZEN: Could I ask you then, in light of that observation, 13 14 to address yourself to 2.1 in the joint report, under the 15 heading The Associated Bushfires. What the three of you, and I'll come to you about this in a moment, Associate 16 Professor Barnett, but what Professors Armstrong, Gordon 17 and Dr Flander agreed here is as follows, "Mortality from 18 all causes in February to March and February to June 2014 19 was closer to that in the corresponding periods of 2009 20 21 than in those of 2009-'13. This observation may suggest that bushfires which occurred in Latrobe Valley in February 22 23 in both 2014 and 2009 contributed to the probable increase in mortality from all causes in 2014. This was not evident 24 for deaths from cardiovascular disease." Perhaps if I can 25 address myself to Professor Gordon about this. This aspect 26 27 of the joint report raises the question about those deaths specifically attributable to the fire in 2009, does it not? 28 PROF. GORDON: Yes, that is one of the things that is relevant. 29 30 MR ROZEN: I think I might have set some homework for you 31 overnight in relation to that. Did you have the

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1 opportunity to do that in the short time that was available 2 to you? 3 PROF. GORDON: I did have the opportunity to do something 4 relatively simplistic. MR ROZEN: Can you convey that in summary in terms or would that 5 not be doing the work justice? 6 PROF. GORDON: I think I can convey that in summary terms. May 7 8 I comment about the content of 2.1 itself? MR ROZEN: Yes, of course you can. 9 10 PROF. GORDON: The wording there is, I think, carefully chosen 11 and certainly discussed by the three of us that agreed with 12 it. You'll note that it says, "This observation may suggest that bushfires", so it is more or less, as it were, 13 14 a logical possibility that occurs to one, it is by no 15 means, certainly for my part, to indicate that "I definitely believe that bushfires..."; the "may suggest" 16 words are quite important in my mind. 17 MR ROZEN: Can I just intervene there, if I may. Much would 18 19 depend, of course, on the nature of the bushfires, the extent of the bushfires. 20 21 PROFESSOR GORDON: Yes. 22 MR ROZEN: We know that the bushfires that burnt in February 23 2009 were amongst the worst ever experienced in the state, including in this region. The bushfires, leaving aside the 24 mine fire, the bushfires in 2014 in this region were not of 25 that magnitude. 26 27 PROF. GORDON: Yes, and that was my understanding from the investigation I did, including looking at the previous 28 Inquiry's report, because I needed to educate myself about 29 30 the bushfires in 2014 and as far as I can understand, both 31 from my memory of them but also looking at the Inquiry's

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report, they were relatively minor compared to the bushfires in 2009 and rather obviously, at least insofar as direct deaths, they did not cause deaths and the 2009 ones did and my understanding is the 2009 ones were much more extensive. That is relevant too.

MR ROZEN: Yes. I think I had stopped you. You were about to
go on and tell us what you'd been able to do since you gave
evidence yesterday.

9 PROF. GORDON: There is two places where I tried to address your 10 question. I confess I did not have a lot of time. I had 11 another engagement last night as well. Would it be 12 appropriate to refer to the places where I've made the sort 13 of modifications, if you like?

14 MR ROZEN: Yes.

15 PROF. GORDON: One is table 1 of my own report.

16 If we can just, for everyone's benefit, identify MR ROZEN: that. That is Exhibit 29, which, in our hearing books, is 17 behind tab 14, and table 1 is on page 4 of your report. 18 PROF. GORDON: Page 4, yes. You asked me about this matter 19 yesterday and you referred me to paragraph 33 of my report, 20 21 in which I noted that the Voices of the Valley document said that 11 people died in their homes in February. That 22 23 is the number that I have operated with. I don't claim to 24 have investigated carefully whether all 11 deaths were in 25 the four relevant postcodes, I'm making the assumption that that is the case for the purposes of this argument, I have 26 27 not checked that carefully and Dr Flander made some comments that may be relevant to that question yesterday, 28 but assuming that that is the case, the effect in my 29 table 1 would be to reduce the predicted number in February 30 31 2014 because, as you may recall from answers I gave

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1 yesterday, the figure of the predicted number in February 2 2014, my table 1, essentially comes from averaging the numbers of deaths for 2009-2013 and it therefore logically 3 follows arithmetically, so to speak, that if you reduce the 4 numbers of deaths in 2009, which, in the data I had, for 5 the whole of the Latrobe Valley, were 55, by 11, it comes 6 to 44 and averaged across 2009-2013, there is a slight 7 reduction therefore for that predicted number to 41.67. 8 MR ROZEN: That is down from 43.38? 9

10 PROF. GORDON: Yes. As a very minor matter but one which I need 11 to mention for the removal of any doubt, I took the numbers 12 in table 1 from the Flander and English report, the 13 predicted numbers. I attempted to reproduce them according 14 to how I thought they might have been produced and did not 15 get exactly the same numbers - I got very close but not exactly the same - and as I comment at paragraph 10, I'm 16 not exactly sure what they did in that first report 17 because, from my perspective, it is not clearly documented, 18 so I can't say how they arrived at the numbers that they 19 did, but they are the ones that I used. That difference, 20 21 however, is not material. I think I had 43.5 instead of 43.38, so that is not a big issue, but I just wanted to 22 make that point. 23

24 MR ROZEN: Yes, thank you.

PROF. GORDON: So it goes down from 43.38 to 41.67. That, of course, affects the February ratio because now we're dividing 50 by 41.67 and not 43.38 and it consequentially affects all the other ratios in that table, except for March, because all the other ratios include the month of February. As you go down the table, the effect is lesser, simply because - February is just February, but once you're

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talking about February to March, there is two months involved and it is only February which is affected and so on, right down to February and June, it is only February which is affected, but you have got a whole lot of other months in there. So with all that explanation, let me say what the effect is on the ratios and the P-values, if I may do that.

8 MR ROZEN: Yes, please.

9 PROF. GORDON: The ratio for February changes from 1.15 to 1.20
and the corresponding P-value changes to 0.115. The March
one is unchanged. The February to March figure changes
from 1.16 to 1.18. The P-value changes to 0.044. The
February to April figure changes from 1.13 to 1.15 and the
P-value becomes 0.043. The February to May figure changes
from 1.14 to 1.15.

16 MR ROZEN: And the P-value?

17 PROF. GORDON: 0.018. And the last figure changes from 1.14 again to 1.15 and the P-value is 0.011.

19 MR ROZEN: Thank you very much for doing that for us.

20 PROF. GORDON: And you'll note, as I said, the effect on the 21 ratios diminishes. The change diminishes as you go down 22 because there is a dilution of the February effect on the 23 period.

24 MR ROZEN: Yes, I understand.

25 PROF. GORDON: I said that there's another way that one can look 26 at it in relation to the work of Dr Flander. I don't know 27 whether I'm allowed to say what I think the modification 28 would be to some of her results that directly relate to the 29 2014 to 2009 comparison, but I can explain what my

30 perspective is, if I may.

31 MR ROZEN: Please do.

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1 PROF. GORDON: I'm now looking at the third - she may have her 2 own comments about this, I don't know - the third report, 3 dated 4 June. MR ROZEN: This is the report which in our materials appears 4 behind tab 12 and is Exhibit 23. 5 PROF. GORDON: Yes. 6 7 MR ROZEN: What page, please, professor? 8 PROF. GORDON: Page 10, table 5. You'll note that her table 5 has a comparison, which we did discuss a little bit 9 yesterday because it is the basis of a derived analysis 10 that Professor Armstrong makes in his own report. 11 12 MR ROZEN: Yes. PROF. GORDON: And it has comparisons of 2014 with each of the 13 14 other years separately. 15 MR ROZEN: Rather than comparing 2014 with an average of the 16 other years? PROF. GORDON: Correct. So clearly if we're talking about a 17 modification to 2009, it only affects the ratio for 2014 18 relative to 2009 in that table. 19 MR ROZEN: Yes. 20 21 PROF. GORDON: I only received the data that is the basis for 22 table 5 yesterday. I don't know the full reasons why 23 that's the case, but anyway, that is the case, I personally have not seen the data that is the basis for table 5 until 24 yesterday, so I was not in a position to replicate the 25 whole analysis and I did not do so. However, I consider 26 27 that the ratios, the rate ratios comparing 2014 to 2009, are sort of affected in quite a direct way, as you would 28 expect, by the counts of deaths in the two years. I mean, 29 that is rather obviously the case. This analysis adjusts 30 for temperature and PM 10, so it is not as straightforward 31

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1 as the comparison that I was previously describing. There 2 is a statistical model involved here. Nonetheless, the rate ratios will be substantially, in my view, driven by 3 those numbers of deaths. So therefore it is possible, if 4 5 you make a modification to the numbers of deaths in 2009, to at least approximate what the effect would be on the 6 rate ratios comparing 2014 to 2009 in both the cases 7 February to June and February to March. Essentially, you 8 are dividing by a different number in the denominator to 9 10 affect that rate ratio.

MR ROZEN: And you would expect to see a greater effect on the 11 12 February to March figure than the February to June figure? 13 PROF. GORDON: Correct, that's right, and that's what you do 14 see. For the reason that I mentioned yesterday, I would 15 prefer to invert those rate ratios when we're talking about them because it makes more natural sense to me to think of 16 2014 relative to 2009, and that's what I did. So before we 17 modify the table, I have in mind for February to June that 18 we're talking about a rate ratio for 2014 compared to 2009 19 of 1.08, which is simply the reciprocal of .93 - 1 divided 20 21 by .93.

22 MR ROZEN: At present we're looking at the second column in the 23 table under the heading Rate Ratio?

24 PROF. GORDON: That's right.

25 MR ROZEN: And, as you've observed, the methodology that's been 26 employed here is to call 2014 1 and then consider each 27 other year as a ratio of 1 and what you're saying is that 28 you prefer to invert it and you've explained to us why and 29 you draw our attention to the figure 0.93 at the bottom of 30 that column.

31 PROF. GORDON: Yes.

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1 MR ROZEN: And you say when you invert it, it becomes - - -2 PROF. GORDON: 1.08. 1 divided by .93 becomes 1.08. I'm not 3 saying that there is an error in the analysis the way it is done, it is just that in terms of meaning, I find it is 4 5 more natural to - - -MR ROZEN: It is another way of presenting the data. 6 7 PROF. GORDON: Yes, absolutely. So when that is done, that 8 becomes 1.08 and the other figure, which you can probably see because it is so close to 1, the figure of 1.01 - this 9 is for February to March, the last figure there, 1.01 -10 becomes 0.99. 11 12 MR ROZEN: Yes. Thank you. PROF. GORDON: Just the other side of 1. And those figures that 13 14 I've mentioned, 1.08 and .99, are the ones which I'm now 15 modifying according to the approach that I've taken by reducing the number of deaths by 11 in 2009. When I do 16 that, the February to June rate ratio changes from 1.08 to 17 1.13. 18 19 MR ROZEN: Yes. PROF. GORDON: And the 2014 to 2009 figure changes from .99 to 20 21 1.09. MR ROZEN: Just so that I understand that 1.09 figure, that is 22 allocating to the 2009 figure a value of 1, am I following 23 that? 24 That's right. 25 PROF. GORDON: MR ROZEN: And then the 2014 ratio is 1.09 to that 1? 26 27 PROF. GORDON: Yes, that's right. I'm not in a position at all to say what the effect would be on the confidence levels 28 and P-values, for the reason I mentioned before, I'm not in 29 a position to actually replicate the analysis and I don't 30 31 make any stronger claim that this is approximately what the .DTI:KVW 03/9/2015 513 ARMSTRONG/GORDON/BARNETT/FLANDER Hazelwood

effect would be if you reduce the number of deaths in
 February in the Latrobe Valley by 11 in that analysis of
 Dr Flander's.

MR ROZEN: Thank you, professor. Dr Flander, I trust that you've been following the evidence that's now just been given by Professor Gordon. Is there anything that you would like to say about that, any comment you'd like to make about that?

9 DR FLANDER: Can you hear me?

10 MR ROZEN: Yes.

11 DR FLANDER: I hear an echo. Okay. I'll go anyway. I can 12 appreciate the attention to this analysis and it makes very good sense to me. Given the difficulty of comparing 13 14 Professor Gordon's results with ours and the fact that we 15 have modelled on temperature and PM 10, that might affect the result, it might not. I can't say. I think it should 16 be taken into consideration and it is part of the ongoing 17 analysis of these data that we recommend. 18

MR ROZEN: Thank you, doctor. Professor Armstrong, can I come 19 back to you, please, and ask you some questions about 2.3 20 21 of the joint report - that is Exhibit 30. At least at first blush, it is not - without a proper understanding of 22 23 the conclusion that it is based on in your report, it can perhaps be a little bit difficult to follow. I'll just try 24 and see if I can get you to explain it. We need to look at 25 firstly the conclusion in your report that it is based on, 26 do we not, to understand what 2.3 refers to? Specifically 27 what I mean by that is if you look at conclusion 3 on 28 page 25 of your report - that is Exhibit 28. 29

30 PROF. ARMSTRONG: Yes.

31 MR ROZEN: The wording that was used in 2.3 in the joint report

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is based on conclusion 3 that you reached in your report, but the reference to the rapid health risk assessment that was done by Monash University in March of 2014 has been removed from the paragraph that is the subject of the joint report, is that right?

6 PROF. ARMSTRONG: Yes, correct.

7 MR ROZEN: So that is the asterisk that we see in line 6 of 2.3 8 in the joint report?

9 PROF. ARMSTRONG: Yes.

MR ROZEN: Perhaps by further explanation of that, if we read 10 what appears in the joint report, it says, "There was no 11 12 evidence that deaths from all causes or from cardiovascular disease alone during the period of the mine fire were more 13 14 frequent on days with higher PM 2.5 levels than on days 15 with lower PM 2.5 levels. This observation appears not to be consistent with the work of Flander and others 2015" -16 that is the third report of Dr Flander and her colleagues -17 "who found that mortality from all causes over the whole 18 period 2009-'14 was approximately twofold higher in Latrobe 19 Valley people exposed to PM 10 at levels of 50 micrograms 20 per cubic metre or more on the day of the death than in 21 people not so exposed." What you're essentially saying 22 23 there is based on your research, there was no evidence of that link but you note that that is inconsistent with the 24 25 work that was done by Dr Flander and her colleagues, am I understanding that? 26

27 PROF. ARMSTRONG: That's correct.

28 MR ROZEN: You then go on and accept that work as the basis for 29 your final conclusion in your report, that, "It is very 30 likely that particulate air pollution during the mine fire 31 caused an increase in mortality, realised perhaps more

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1 after the period of the fire than during it."

2 PROF. ARMSTRONG: Yes.

3 MR ROZEN: So what the joint report excises from the conclusion 4 you reached was the reference to the work of Professor 5 Abramson and his colleagues in the risk assessment, for the 6 reason that is explained in the note at the top of page 3 7 of the joint report, is that right?

8 PROF. ARMSTRONG: Yes.

9 MR ROZEN: I want to ask you a couple of questions about that, 10 and in particular if we can do this by reference to the 11 joint report to 2.3, what is the meaning of the final words 12 to the final paragraph, "realised perhaps more after the 13 period of fire than during it", what is the basis for that 14 conclusion?

PROF. ARMSTRONG: Let me say I think that was something we should have discussed in more detail at the time because it is a little bit of a carry over from the time to a reference to Abramson and others in that they found a relatively small number of deaths in excess during the period of the fire increasing over time.

21 MR ROZEN: That was their prediction.

PROF. ARMSTRONG: That was their prediction, sorry, and the reason we removed that was we agreed that the data on which their prediction was based was not the data most appropriate to the circumstances because it did not take account of the known increase in risk of death that occurs very shortly after commencement of exposure to an increase in the small particle measurements.

29 MR ROZEN: Yes.

30 PROF. ARMSTRONG: So it was essentially as I say dealing with a 31 different kind of prediction, one that would look at the

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5 MR ROZEN: Thank you. Associate Professor Barnett, can I check 6 whether you're still hearing us? That is not the silence I 7 was hoping to hear, we will see if we can restore the link 8 to Professor Barnett.

9 PROF. GORDON: May I make a comment completely unrelated to the 10 questioning, I apologise if I was responsible for the delay 11 in starting this morning, the Princes Highway was 12 completely blocked and there was a delay of half an hour 13 and that's why I was not here at 9.30 and I apologise for 14 that.

15 CHAIRMAN: Thank you.

MR ROZEN: Dr Flander, can I just ask you a question whilst we're attending to that, Dr Flander, can you hear me? DR FLANDER: I can, thank you.

MR ROZEN: I want to clarify, I understand you may have a time difficulty this morning, is that correct?

21 DR FLANDER: Apologies yes, I have a medical appointment but 22 can stay for one more hour if that is useful.

23 MR ROZEN: It certainly is and we will make a note of that and 24 try our best to accommodate that.

Associate Professor Barnett, can you hear us?
 ASSOC PROF. BARNETT: Sorry, my phone packed in, I think I
 missed about two minutes.

28 MR ROZEN: I don't think you missed too much although I'm not 29 sure. I think we got to a point, Professor Armstrong, 30 where you were explaining 2.3 in the report, was there 31 anything further you wanted to say about that and

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1 particularly the use of the rapid health risk assessment

2 report?

3 PROF. ARMSTRONG: No, I said everything I thought was relevant. 4 Thank you, I think that brings us to a point where I MR ROZEN: 5 would like to pose the key question to each of you and ask 6 you to respond to it. So we have examined the statistical information, the joint report identifies four possible 7 8 explanations, environmental that might have led to the increase in mortality, perhaps if I can start with 9 Professor Armstrong, is there a straightforward answer you 10 can give to the question the board has to grapple with and 11 12 that is did the mine fire in 2014, February to March, contribute to an increase in deaths in the Latrobe Valley? 13 14 PROF. ARMSTRONG: I will answer that in two short parts. 15 Firstly, I think we have as described moderate evidence for an increase in deaths during that period so anything I say 16 about the cause of it has to take into account the fact 17 that the evidence for the increase itself is not strong. 18 19 MR ROZEN: Yes.

PROF. ARMSTRONG: But given that evidence I think of the 20 21 various explanations that one can put forward, the most 22 likely is that an increase, if one occurred, was due to the 23 increase in the particulate pollution of the air during that period of time, most likely due to the mine fire but 24 possibly added to by bushfires that occurred at the same 25 time, and I base that principally on two things. Firstly, 26 the evidence that there is a relationship between 27 particulate pollution and risk of death in the Latrobe 28 Valley as observed by Dr Flander and her colleagues, and 29 secondly, there is a large body of evidence to indicate 30 31 that short-term increases in particulate pollution are

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1 associated with short-term increases in deaths as well as 2 long-term exposure being associated with longer term 3 increase in death. So the background evidence is very strong, the evidence in these circumstances is not as 4 5 strong but I would argue that the inconsistency identified between the observation I made and Dr Flander made is 6 appropriately resolved in favour of the results of 7 8 Dr Flander and not what I consider to be results which if they were positive and showed an association would be quite 9 strongly persuasive, but when negative cannot be taken to 10 be stronger evidence than that existing evidence that I 11 12 spoke about.

MR ROZEN: Thank you. Two questions arising from that, the first is what relevance if any do you attach to the data about increased hospital admissions that you referred to in your report in the relevant period, that is what's been referred to in the evidence as evidence of an increase in morbidity.

19 PROF. ARMSTRONG: I think that would provide supportive evidence to the proposition that there was also an increase in 20 21 mortality during that period, it addresses itself fairly specifically to the proposition of mortality in general 22 23 rather than necessarily mortality specifically related to the mine fire, but I think it strengthens that evidence and 24 then the same inference that I have just made would apply. 25 The other matter I would specifically wish to ask 26 MR ROZEN: 27 you about is what significance do you attach to the decline in deaths specifically in Morwell as opposed to the Latrobe 28 Valley in general, a matter you discuss in your report. 29 30 That is certainly an inconsistency that needs PROF. ARMSTRONG: 31 to be taken into consideration, as I think we made clear in

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1 the joint report, the statistical evidence supporting that difference between Morwell and the other locations is not 2 3 strong so it's not strongly supported by the statistics but it's obvious when you look at it but it's possible 4 5 considering that uncertainty or chance, if you like, as I think was elicited very well by what Professor Gordon said 6 7 about P-values yesterday, it's possible just by chance other factors came into play which reduced mortality in 8 Morwell during that period, which then obscured an effect 9 of the mine fire and the numbers being such that that's a 10 plausible possibility, so I think that would discount that 11 12 inconsistency in reaching a conclusion.

MR ROZEN: Thank you. Professor Gordon, can I turn to you and posit the same question please, that is what is your answer to the question facing the board, did the Hazelwood Coal Mine fire contribute to an increase in deaths in 2014 compared to the years 2009, 2013.

18 PROF. GORDON: I'm in substantial agreement with the way 19 Professor Armstrong expressed his conclusion I have to say, 20 I mean as statisticians we are notoriously careful about 21 this sort of thing or at least I hope we are.

22 MR ROZEN: We're grateful for that care. And attributable 23 causation?

PROF. GORDON: And we are in a situation here where causation 24 cannot be attributed on the basis of the gold standard 25 paradigm in science of a randomised controlled - we're 26 27 nowhere near that, nonetheless there are plenty of very important situations in research and in life where we have 28 to think about this question of causation without the 29 paradigm and epidemiologists and statisticians have thought 30 31 about that issue a lot and have addressed their minds to

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1 the criteria one might apply to draw a conclusion of 2 various strengths, I suppose, about causation, and in this circumstance as I said, I agree with Professor Armstrong, 3 taking into totality the statistical evidence, the other 4 5 factors that were looked at that might partly explain the results such as temperature which in my view do partly 6 explain it but not nearly enough to remove the apparent 7 effect of the coal mine fire. Things which are 8 inconsistent need explaining and the possible issue about 9 Morwell comes into that which I will comment on in a second 10 but there are all sorts of other standard things like 11 12 biological plausibility, analogy with other situations, past data about the affected air pollution and so on, 13 14 Professor Armstrong has spoken about these things and they 15 apply to this case in favour of the causal conclusion. So as I said agree substantially with Professor Armstrong's 16 characterisation at the conclusion. I would like to say a 17 little bit more about the Morwell issue if I may. 18

19 MR ROZEN: Yes, please.

Professor Armstrong's already spoken about the 20 PROF. GORDON: 21 variation you see and that's right, you do see variation particularly in small numbers, so whilst you might say it 22 23 must be higher and should have been higher in Morwell because the exposure was greatest there, it is not 24 necessarily going to follow in terms of the observed rate 25 ratios that very small numbers will play out that way just 26 27 due to natural variation, that the first point. The second point is when I wrote my report I had no direct evidence 28 about the air pollution in the Latrobe Valley during the 29 relevant period and I was only provided with the data on 30 31 that, this is the PM 2.5 data that Professor Armstrong

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1 analysed in the middle of last week, and there has been 2 some discussion about that already. But I note, for 3 example, one of the things I noticed about that is while there was certainly very high PM 2.5 readings on some days 4 in Morwell south and they were the highest in the whole 5 data set, if we consider Morwell east where it's my 6 7 understanding many people live in Morwell, the Morwell east readings were not that different from the readings in 8 Traralgon on days when they could be sensibly compared 9 10 which is not to say they were lower than Morwell east but they were also high in Traralgon is my point, and indeed 11 12 the measurements in Traralgon that were taken before there 13 were any measurements taken in Morwell east, namely on the 14 9th to 13th February just after the start of the coal mine 15 fire, included readings of 48, 28 and 66 on three of the five days which are over the sort of starting threshold of 16 a concern of 25, and if we compare Morwell east with 17 Traralgon for the 40 days on which there was comparable 18 data on the data provided to me, in 19 of those 40 days, 19 that's almost exactly half of them, the Traralgon reading 20 21 was greater than the Morwell east reading, and in 21, 22 around the other way, Morwell east reading was higher than Traralgon. The differences in the direction overall of the 23 24 average of being higher than Morwell east, and I'm not suggesting it was worse in Traralgon than Morwell east but 25 not by a huge amount, I'm just suggesting that part of the 26 27 explanation is actually it was bad elsewhere in the Latrobe Valley as well as in Morwell and perhaps a simplistic 28 assumption is well, it was terrible in Morwell so we should 29 see it worst here is mitigated a bit about the evidence 30 31 about what the particulate material was elsewhere in the

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Latrobe Valley during the period.

2 Professor Armstrong, I think you made the MR ROZEN: 3 observation a moment another there may be other factors that may have come into play to explain the Morwell figure, 4 did you have any particular other factors in mind? 5 6 PROF. ARMSTRONG: Well, the other factor that I understand has been advanced and for which I think there is rather little 7 8 solid information in terms as to the likely effect is that people were advised particularly for younger children and 9 10 for older people who were already unwell to leave essentially the valley and get away from the smoke 11 12 pollution. Now, that could have reduced the population at risk in Morwell, that is the people who are likely to 13 suffer death during that period by a material number. As I 14 15 have indicated there isn't much evidence around that was 16 the case although I think it's interesting to note in the information on emergency admissions to hospitals two 17 things; firstly, while the numbers are very small there is 18 an unusually large reduction in the number of admissions 19 for cancer during that period which may suggest that people 20 21 who were already ill with cancer left the area and that's why that happened, and secondly, if one looks at the 22 23 detailed information which is not in my report but I had a look at recently as to the hospitals to which people went, 24 because this wasn't just hospitalisations of people who 25 lived in the valley in the Latrobe Valley hospital, it was 26 to public hospitals anywhere else in Victoria, and there 27 was about a 3 per cent public increase in the proportion 28 going to the hospitals outside the valley and a 29 corresponding 3 per cent decrease in people admitted on 30 31 emergency basis to the hospitals in the valley which again

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would suggest that the population likely to be admitted to
 hospital had shifted somewhat, not to a very large degree
 on that reading away from the valley.

Professor Gordon, is another factor that may be MR ROZEN: 4 relevant here the matter you refer to at paragraph 36 of 5 your report, that is the question of relocation and we do 6 7 have some evidence of people from the first Inquiry report, a significant proportion of Morwell residents obtaining a 8 respite or relocation payment, what we don't have is the 9 precise figure that actually relocated but you point to 10 that being a relevant matter there, don't you? 11

12 PROF. GORDON: I do, exactly, and I would add to that also 13 there is uncertainty presumably about the actual exposure 14 of the people we're talking about to perhaps a considerable 15 extent, we don't know, people may I assume may live in Moe and work in Morwell and vice versa or live in Traralgon and 16 work in Morwell, that increases the difficulty of nailing 17 down a very cogent concern about the Morwell result. 18 I think the other matter I should raise with you is 19 MR ROZEN: that the evidence in the first Inquiry was that when the 20 21 warning from the chief health officer came to leave Morwell it was actually directed at the people in south Morwell. 22 23 PROF. GORDON: Okay.

24 MR ROZEN: They were the ones advised to leave, particularly the 25 vulnerable groups in the south Morwell area, that would 26 perhaps be relevant to your observations about those very 27 high exposure levels in south Morwell overlapping with the 28 population that may be perhaps left.

29 PROF. GORDON: Indeed.

30 MR ROZEN: Professor Barnett, can I posit the same question,
31 did the coal mine fire contribute to an excess of deaths in

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the Latrobe Valley in the relevant period compared to 2009 to 2013?

3 ASSOC PROF. BARNETT: So I would like to start with some 4 evidence from other groups so three groups, the US 5 Environmental Protection Agency engaged a panel of expert scientists called the Clean Air Scientific Advisory 6 7 Committee and they were charged with looking at the current evidence for the effects for particulate matter pollution 8 and they concluded: "Particulate matter pollution caused 9 multiple serious threats to health including causing early 10 deaths by short-term or long-term exposure, that it causes 11 12 cardiovascular harm including heart attacks/heart disease and congested heart failure." Then in 2010 the American 13 Heart Association said: "The overall evidence for 14 15 particulate matter pollution is consistent with a causal relationship between exposure and cardiovascular morbidity 16 and mortality." Then the World Health Organisation said: 17 "There is a close quantitative relationship between 18 exposure to high concentrations of small particulates of PM 19 10 and PM 2.5 and increased mortality and morbidity both 20 21 daily and over time." So really when we have these very 22 big, very well respected groups all saying there is a 23 causative association between exposure to these pollutants and deaths, it really feels from my point of view there 24 would have to be something very surprising going on in 25 Morwell not to see that increase. Then when we look at the 26 27 evidence we see an increase in deaths of a relative risk between about 10 and 15 per cent depending what you adjust 28 for which is around the size we would expect. We see an 29 increase in emergency hospital admissions and again, it 30 31 would be extremely surprising to see an increase in

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1 emergency hospital admissions and not an increase in deaths. And then lastly, just to talk about the Morwell 2 3 issue, I did actually do an extra analysis where I kind of assumed an increasing proportion of evacuated people from 4 Morwell. If you assume that around 20 per cent of people 5 evacuated then the mean relative risk goes to about 1. So 6 there is no effect of the fire, so it starts out, you know, 7 at the moment there is an unusual result where the deaths 8 appeared to decrease. So if you get to 20 per cent of 9 people evacuating, that effect has completely gone away. 10 Then if you assume about 30 per cent of people evacuated 11 12 then the relative risk starts to become very similar to 13 those relative risks in any other postcodes. So that is 14 not the most accurate analysis we could do and it would be 15 better to get much more detailed information on who was evacuated and who was around. 16

MR ROZEN: Particularly if they were in high risk groups, do you agree?

ASSOC PROF. BARNETT: Most definitely yes, yes, the more information we can get on people and who they were and what they were doing and what protective measures they took, that would certainly be more useful but I think even a simplistic analysis does show that the relatively small amount of people who evacuated can actually explain that result in Morwell.

MR ROZEN: Was there anything further you wanted to say particularly having regard to what you have heard from Professors Armstrong and Gordon about the answer to the central question the board is grappling with? ASSOC. PROF. BARNETT: I think both Professors Gordon and Armstrong made some interesting observations that I agree .DTI:ELV 3/9/2015 526

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with.

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2 Thank you, Associate Professor Barnett. Which MR ROZEN: 3 brings us to Dr Flander, do you want me to repeat the question or have I said it often enough for you? 4 I understand the question, and I would like to 5 DR FLANDER: 6 refer to the comments of my fellow experts on the panel. Ι have no fundamental disagreement with information that 7 8 Professor Armstrong put forward for the reasons that those were our number and our conclusions and I have no objection 9 10 to the further analyses done by Associate Professor Barnett or Professor Gordon. I'm struck by the similarity in our 11 12 results, the wide uncertainty around our estimates albeit we used very different methods. It's clear to me there is 13 14 an effect even though PM 10 is not a threshold value as 15 used by the EPA in this country, it's clear there is an 16 effect on mortality with exposure to PM 10 above the level that we used at different times. So my answer to the first 17 question is yes, there is moderate evidence of an increase, 18 19 these data do show that. Do I have a feeling or opinion or judgment about the effect of exposure to PM 10? Yes, I do, 20 21 I think we do show that. I think I concluded - and I won't 22 rifle through my pages now - that there is uncertainty 23 around these estimates, this kind of an experiment by its nature looks at all the possible data that we can use and 24 tries to make an estimate. We recommend much further 25 enquiry including the longitudinal study I believe has 26 27 already been initiated headed up by Monash University and I guess as a final caveat I would just like to say that we 28 29 make our best estimate and we use different methods and we 30 have different judgments and assumptions, and in the case 31 of the small numbers we're dealing with here we all have

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been taught well that we do not want to conclude there is an effect if there is none, nor do we want to miss an effect if there is one, I'm not sure I have gotten all my double negatives right in that statement.

5 MR ROZEN: I think we follow you.

6 DR FLANDER: I read from the bottom of my first report in the 7 footnote the medical statisticians, shall I read it again 8 or do you get my sense?

9 I certainly understand it, I'm not sure I can speak MR ROZEN: 10 for everybody in the room. Just one matter, Dr Flander, the point you make about the longitudinal study is an 11 12 important one for us, you would no doubt draw our attention 13 to what you say about that, in your third report at exhibit 14 23 at the foot of page 17, perhaps for completeness if I 15 can read that out, you say: "The proposed prospective study that will track Latrobe Valley residents who were 16 exposed during the Hazelwood fire may contribute useful 17 information about the association of exposure to brown coal 18 particulate matter with cardiovascular health." Am I right 19 that's the observation you refer to? 20

21 DR FLANDER: That is one of my observations. We have some 22 information in these data about some of the exposures, we 23 don't have complete information as we discussed in our first meeting on Monday, we don't have complete information 24 about exposures for individuals for the different 25 constituent of the degraded air quality. So we have in a 26 27 sense what in epidemiology we call a natural experiment, we know that people who live there who are currently living 28 there, we know where they were on the dates of fire and we 29 can follow them longitudinally. We don't know now but we 30 31 will know by tracking them over time whether the specific

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exposure to the specific constituents of the degraded air quality have affected their health, that's the value of longitudinal study, and it yields more robust information, information we could not hope to get from the kind of study we did.

6 MR ROZEN: Yes, I understand that, thank you, doctor. They are 7 the questions I have for the panel. I note the time and 8 given Dr Flander's situation can I make the suggestion if 9 there are specific questions for Dr Flander perhaps they be 10 addressed to her while she's available to us.

11 CHAIRMAN: I agree that's a sensible course.

MR NEAL: Dr Flander, my name is Neal and I appear as counsel for GDF Suez.

14 DR FLANDER: G'day.

MR NEAL: In relation to what we might say was the ultimate question you were last speaking about, did I understand you to say a number of things, that at least ideally we would be considering these ultimate questions in a more

19 longitudinal sense.

20 DR FLANDER: Absent complete information on the location of the 21 individual deaths that we looked at, where they were, the 22 days leading up and on the day of death, absent complete 23 information on their exposure, where they were and what 24 kinds of exposure to degraded air quality or excess 25 temperature, absent that kind of information, a 26 longitudinal study is a better model.

27 MR NEAL: In terms of the data we presently have as opposed to 28 what would be data to improve the analysis, can I suggest 29 to you that the absence of specific cause of death is an 30 unhappy factor.

31 DR FLANDER: We do have text associated with each individual .DTI:ELV 3/9/2015 529 Hazelwood ARMSTRONG/GORDON/BARNETT/FLANDER death record taken from the death certificate for all of the deaths in this data set, so we do have some information about the cause of death as it was recorded and registered by the state, that's what we have, we do not have complete medical records on these individuals.

Perhaps I could turn to that question given the death 6 MR NEAL: 7 statistics we're dealing with are deaths in relation to postcodes and those postcodes are within a certain physical 8 bound of the site of the fire, it would be of great utility 9 to know whether or not those who died were actually 10 resident in the postcode at any relevant time, that is at 11 12 the time of the fire and exposure to the fire. 13 DR FLANDER: That is absolutely correct, we do not have

14 information about their exposure, we only have the death 15 certificate in the postcode of their residence, you're 16 correct.

MR NEAL: There are other questions about data that I think perhaps are dealt with in the report, so in view of the time I won't take you to all of these but it's fair to say as a general principle, is it not, we could qualitatively improve the data quite a lot.

I think I don't understand your question. 22 DR FLANDER: 23 MR NEAL: In order to improve the analysis and to address the questions which the Board of Inquiry has to address I'm 24 suggesting to you that information such as what we have 25 just discussed, the question of exposure of people who are 26 27 said to have died is one example of the way in which qualitatively it's a bit of a frustration to be analysing 28 this at this point in time. 29

30 DR FLANDER: I won't speak to other people's frustrations but 31 certainly we are limited to the analysis we have, and as we

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have been discussing amongst ourselves and in this forum there are many different analytic approaches apart from issues around the data themselves. So I guess are you asking me in a perfect world could we do better and I think my answer to that would be absolutely yes. If we had complete information on every single individual we would be able to tell a lot more of this story.

8 MR NEAL: In terms of the questions that have been raised with the panel, there are really two logical ones as I 9 understand it: "Is there evidence in relation to an 10 increase in mortality in the relevant areas at the relevant 11 12 time?", one, and depending on the answer to that can we 13 look at the question of, "Is there a causal association", 14 if we frame the question that way. Do I understand you to 15 take the view that there is perhaps some evidence of an increase although there are countervailing considerations 16 17 to that position?

DR FLANDER: Yes, there is moderate evidence of an increase. 18 19 MR NEAL: And as to the second proposition would you be happy with the idea that if you accept the moderate evidence as 20 21 demonstrating some sort of increase, would it be a fair position to say as a scientist at that point we should not 22 23 exclude the hypothesis that there is a causal correlation between the excess we think may exist and the defined 24 event, we shouldn't exclude that as an hypothesis. 25 I'm pausing to make sure I address the exact 26 DR FLANDER:

27 statement, we're not excluding, given this evidence, a
28 causal relationship between exposure to particulate matter
29 and the mortality? I think that is correct.

30 MR NEAL: And indeed could I put it to you in terms of a normal 31 scientific approach that might be the desirable way to

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state a response to the second and that is at this point on the basis of the information we have we are not entitled to exclude the hypothesis there is a causal relationship between the two events but we shouldn't say it is substantiated.

DR FLANDER: Yes, there is a concept in observations and 6 7 evidence around epidemiology which speaks to the under determination of observations and evidence and I think we 8 have that case here. There are not sufficient observations 9 for us to choose between alternative explanations or in 10 this statement that you have made, alternative hypothesis, 11 12 the data are undetermined, there are not enough observations for us to choose between these different 13 14 hypotheses and that means we, in your language, can't exclude a given hypothesis. 15

MR NEAL: Is it also perhaps fair to say that what we have managed to do - or what may be said to be demonstrated by the various analyses that there is some evidence for what I would call a temporal coincidence between an increase in mortality and a fire event?

21 DR FLANDER: I think I don't understand the question.

22 MR NEAL: I'm saying at the moment there may be some evidence 23 that there is a coincidence in time, a correlation in time 24 between a supposed increase in mortality and a fire event, 25 I think you're nodding?

26 DR FLANDER: That is correct, yes, these things do coincide. 27 MR NEAL: I think it is a truism of your profession that that 28 sort of correlation is not causation?

29 DR FLANDER: Yes, I refer to the statement in answer to the 30 question from Mr Rozen: "We know results in the case of 31 small sample sizes are prone to misinterpretation leading

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to the conclusion of an effect where there is none, or the conclusion of no effect where there is one", and my position is that we don't really have enough observations to choose between those two points; does that answer your guestion?

6 MR NEAL: It does and perhaps brings me back to the proposition 7 I was putting to you, that's perhaps why the formulation I 8 suggested to you is a happy one, we should not exclude the 9 hypothesis of the causal correlation.

10 DR FLANDER: Correct.

11 MR NEAL: Thank you, I have no other questions.

12 MR BLANDEN: Professor Flander, just a couple of quick

13 questions, and really I think you have said this already

14 but essentially is the analytical process, whatever

15 analytical process is undertaken, dependent on the quality

16 of data that's used in that process?

17 DR FLANDER: For the record I'm not a professor.

18 MR BLANDEN: I beg your pardon.

19 DR FLANDER: That's okay, thank you, and to whom am I speaking?

20 MR BLANDEN: I'm Chris Blanden, counsel for Dr Lester.

21 DR FLANDER: So the question is whether the analysis depends on

22 the quality of the data?

23 MR BLANDEN: Or the outcome of the analysis.

DR FLANDER: The outcome of the analysis depends upon the quality of the data and it also depends as we have discussed at length, the outcome depends on what kinds of analysis you use and what your starting assumptions are, these also affect the outcome but certainly the quality of

29 the data is crucial, yes.

30 MR BLANDEN: The data you used and indeed everyone's used in 31 terms of the analytical process is data that relies on

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death from all causes, is that correct?

2 DR FLANDER: Well, we use text information copied from death 3 certificates that were linked to each individual case, so partly what we looked at was all cause of mortality and 4 then as you can see from my report we went through the 5 entire data set for all deaths in Victoria for the period 6 under consideration, 2009 through the middle of 2014. And 7 we then coded each individual text statement into a 8 category that would be useful for our analysis. The most 9 useful one of course would be cardiovascular or respiratory 10 pulmonary for our purposes yes, so it's not simply all 11 12 cause mortality.

MR BLANDEN: Would that be most useful for your purpose for trying to undertake the analytical process because it's the cardiovascular data which relates to the risk posed by particular matter?

17 DR FLANDER: Yes, among other effects, yes.

18 MR BLANDEN: And that's the primary problem caused by - - 19 DR FLANDER: That's my understanding of the literature on
20 exposure to degraded air quality and I will say again at
21 this point this is not an area of my expertise.

22 MR BLANDEN: But insofar as data relevant to the question we're 23 trying to address here, if such data was addressed to 24 cardiovascular related causes that would be a more focused 25 set of data for our purpose?

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26 DR FLANDER: That's correct.

27 MR BLANDEN: Thank you.

28 MS SZYDZIK: Some additional questions, Dr Flander. My name is 29 Melanie Szydzik, I'm the counsel representing Voices of the 30 Valley.

31 DR FLANDER: Good day.

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1 MS SZYDZIK: In relation to the questions asked by my friend, 2 Mr Blanden, if I could direct you to the joint expert 3 report, do you have a copy of that in front of you? DR FLANDER: Could you just read the number you want while I 4 5 try and find it, I'm sorry I don't have that one on top. MS SZYDZIK: Do you mean - - -6 7 DR FLANDER: This is the joint statement we produced earlier this week? 8 9 MS SZYDZIK: Precisely. DR FLANDER: I don't have it on top, while I'm looking it could 10 11 you ask me the question. 12 MS SZYDZIK: Certainly, just in relation to the first question addressed by the group of experts. 13 14 DR FLANDER: Yes. 15 MS SZYDZIK: So the question was, was there an increase in mortality in the Latrobe Valley during the coal mine fire 16 in 2014, so the first conclusion is 1.1, it's agreed by all 17 of you - it looks like you have that now, am I right? 18 DR FLANDER: No, sorry. 19 MS SZYDZIK: Not a problem, so 1.1 states: "There is moderate 20 evidence for a higher mortality from all causes and from 21 cardiovascular disease in Latrobe Valley in February to 22 23 June 2014 than in the same period during 2009 to 2013"; that's that first statement, I assume you agree with that 24 25 and you agreed up to that. 26 DR FLANDER: I do agree. 27 MS SZYDZIK: The reason for that is because not only did you have data as you were just telling Mr Blanden from all 28 causes but you also have data available specific to the 29 30 individual effects people died from including specifically 31 cardiovascular disease. .DTI:ELV 3/9/2015 535

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DR FLANDER: Yes, I point out again here that with reference to the problem of outcome of analysis, we have the text information copied from the death certificate.

4 MS SZYDZIK: Yes.

5 DR FLANDER: And we have to assume that's as good information 6 as we can have.

MS SZYDZIK: Of course, and we have heard some evidence about which you haven't, I don't think, but how it is that information is obtained. If I could just on the second issue, now again, it's going to be a matter arising from the joint report so if you don't have that I will read that out to you.

13 DR FLANDER: Yes.

14 MS SZYDZIK: It's on the second page under the heading, "Fine 15 particulate (smoke) air pollution from the coal mine fire or the bushfires", the conclusion is set out at paragraph 16 2.3, it's quite long but for your benefit I will read it 17 "There was no evidence that deaths from all causes or 18 all: from cardiovascular disease alone during the period of the 19 mine fire were more frequent on days with high PM 2.5 20 levels than on days with lower PM 2.5 levels. 21 This observation appears not to be consistent with the work of 22 23 Flander and others who found that mortality from all causes over the whole period of 2009 to 2014 was approximately 24 25 two-fold higher in the Latrobe Valley people exposed to PM 10 at levels of 50 microgram per cubic metre or more on 26 27 the day of death than in people not so exposed. However, on the evidence of Flander, it is very likely that 28 particulate air pollution during the mine fire caused an 29 increase in mortality, realised perhaps more after the 30 31 period of the fire than during it", and stated below that

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1 is the agreement of both yourself and Professor Armstrong, 2 based significantly, as is clear from that paragraph, or 3 that conclusion, on your evidence. Has your position changed from that at all? 4 5 DR FLANDER: No. MS SZYDZIK: That was the agreed statement in that joint report. 6 7 So just to clarify, you don't change your position in relation to that? 8 DR FLANDER: No, my position has not changed since signing that 9 10 statement on Monday. MS SZYDZIK: Thank you, Dr Flander. No further questions. 11 12 MR ROZEN: If that concludes the specific questions for 13 Dr Flander, given that she is under summons, I would ask 14 that she be excused and then, Dr Flander, you'll be free to go if you want to or stay if you want to. 15 CHAIRMAN: It is a matter for you. 16 17 DR FLANDER: Is that a question? I have 15 more minutes and if it is okay with you, I'll stay for 15 minutes and then I'll 18 just sign off. 19 CHAIRMAN: Yes, that's fine. 20 21 DR FLANDER: Okay. Thank you very much. MR ROZEN: Can we take it, sir, that Dr Flander is excused? 22 23 CHAIRMAN: Yes. 24 < (THE WITNESS WITHDREW) 25 (Witness excused.) MR ROZEN: We can now return to the ordinary course of 26 27 cross-examination of the panel. 28 CHAIRMAN: Did you want it extended to Associate Professor Barnett, on the basis that we may run into trouble, or do 29 we assume that the link will be maintained? 30 31 MR ROZEN: (a), I think we do assume the link will be maintained .DTI:KVW 3/9/2015 537 ARMSTRONG/GORDON/BARNETT Hazelwood

1 but, (b), more importantly, he is not under summons, so the same issue does not arise. 2 3 CHAIRMAN: Okay. MR ROZEN: Thank you, sir. 4 5 CHAIRMAN: Mr Attiwill. MR ATTIWILL: No questions. 6 7 CHAIRMAN: Mr Neal? 8 MR NEAL: Is it convenient to the Inquiry that we take a very short break at this point? It may not be convenient, but 9 may we do that? 10 CHAIRMAN: Okay. We'll make it a very short break, so we'll be 11 12 on call, ready to move, when we come back. 13 (Short adjournment.) 14 CHAIRMAN: Yes. 15 MR NEAL: Associate Professor Barnett, can you hear me? ASSOC. PROF BARNETT: Yes. 16 17 MR NEAL: A couple of formal matters - perhaps before I do that, might I say, not to associate professor but to the board, 18 for the sake of the record, that in his evidence this 19 morning already, Associate Professor Barnett referred to 20 some studies and an analysis of, I think, evacuation ratio 21 effects that, to the best of our knowledge, don't appear in 22 23 any documents that we've received to date. Now, this is 24 perhaps a cause and effect statement, it is not anything else. That causes difficulties. Obviously we haven't seen 25 the documents to which he's referred and I'm not sure that 26 27 we've seen the studies on which he has relied. It puts us at some disadvantage clearly enough in the 28 cross-examination context. Whether that can be recovered 29 in submissions or otherwise, I can't say and I really ask 30 31 the board to note that. (To witness): Associate

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1 professor, back to you. Some formal matters. Your 2 discipline is statistics? 3 ASSOC. PROF BARNETT: That's right. MR NEAL: It is not clear to me. Do you regard yourself as an 4 5 epidemiologist? ASSOC. PROF BARNETT: There is a huge overlap. I'm on the 6 7 editorial board of the Journal of Epidemiology, I go to epidemiological conferences, I do a lot of epidemiological 8 work, but my major qualifications are my - I mean, when 9 people ask me what I am, I say I'm a statistician. 10 MR NEAL: So can I take it that in terms - you are not medically 11 12 qualified otherwise? ASSOC. PROF BARNETT: No. 13 14 MR NEAL: And in terms of what I might call the mechanics of 15 disease, particularly cardiovascular and respiratory, you'd defer to those who are medically qualified, I take it? 16 ASSOC. PROF BARNETT: I would. 17 MR NEAL: I think we know generally that your first involvement 18 19 in this matter was via a contact with an ABC journalist, is that correct? 20 21 ASSOC. PROF BARNETT: That's right. MR NEAL: Overnight we've been provided with emails that seem to 22 23 track and contextualise your involvement up to the present moment, and that came from you. 24 ASSOC. PROF BARNETT: Yes. 25 MR NEAL: Do you have that same bundle that you provided? 26 27 ASSOC. PROF BARNETT: I do. I'll just have to turn to my computer here, but I can see them all. 28 MR NEAL: I take it the board has been provided with that same 29 30 bundle. 31 CHAIRMAN: Theoretically.

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1 MR NEAL: I need to operate at a level beyond theory at the 2 moment, Mr Chairman. I do want to take associate 3 professor - - -4 CHAIRMAN: That may be on the iPad. 5 MR NEAL: I should make it clear that by prior arrangement, we have been given a significant bundle which seems to track 6 7 the history and, at the request of counsel assisting, we have tried to identify a couple of documents which we think 8 have some particular relevance, which I understand might be 9 viewable on the screen. 10 CHAIRMAN: If that's the case, that is the easiest way. 11 12 MR NEAL: Associate professor, what I have got in my hand 13 endeavours to be a chronological sequence. Do you have it 14 in something like that, so starting at the earliest date and going to the latest? 15 ASSOC. PROF BARNETT: I should be able to find them easily if 16 17 you point me towards which ones you're particularly 18 interested in. MR NEAL: Perhaps just to identify the starting point, the first 19 one that I was looking at was an email of 4 September 2014 20 21 from Madeline Morris to yourself. ASSOC. PROF BARNETT: Yes. 22 23 MR NEAL: I think it is appropriate at this stage that we identify the cast of characters. Madeline Morris is an ABC 24 25 journalist. ASSOC. PROF BARNETT: Yes. 26 27 MR NEAL: And she, in this instance, seems to have been passing 28 on to you some death data that had been provided to her by 29 Wendy Farmer. ASSOC. PROF BARNETT: Yes. 30 31 MR NEAL: Wendy Farmer is a person who is associated with the

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1 organisation Voices of the Valley.

2 ASSOC. PROF BARNETT: Yes.

- 3 MR NEAL: The fact that Ms Morris had provided that information 4 to you, I assume that wasn't a random event, that she and 5 you had some reason to know each other?
- ASSOC. PROF BARNETT: She phoned me earlier in the day that is 6 7 the first time that I have ever spoken to her - because there was some concern about an increase in deaths and she 8 was following up the story and she had the data but wasn't 9 able to make a sort of sophisticated analysis of it, so I 10 presume she contacted me because I've had some experience 11 12 in this area and then after that phone call, we decided 13 that I would have a look at the data and so she passed it 14 on to me.
- 15 MR NEAL: Is it fair to say that - you just described it as 16 having some experience in the area - you also have some profile in the area, media profile I mean? 17 ASSOC. PROF BARNETT: I can't really answer that. I've had a 18 19 number of stories on air pollution. I don't know when that becomes a profile. I have written about it and I've 20 21 engaged the media on it and spoken to the media about it. I'm always happy to speak to the media about this. 22 23 MR NEAL: It is a fair assumption that if you hadn't had prior 24 contact with her, that she probably knew about you from
- 25 your media commentary.
- ASSOC. PROF BARNETT: I had never had prior contact with Madeline Morris. I've had contact with other journalists at the ABC, but I'm fairly sure I've never had - I'm almost certain I had never had contact with Madeline Morris until that day.
- 31 MR NEAL: All I'm saying to you is it seems likely that she got .DTI:KVW 3/9/2015 541 Hazelwood ARMSTRONG/GORDON/BARNETT

1 your name because you had a media profile. 2 ASSOC. PROF BARNETT: Yes, I think that is fair to say. 3 MR NEAL: So that starts the context for this. It has not been clear from the evidence that we've been privy to whether or 4 5 not this was a professional or other engagement for you. ASSOC. PROF BARNETT: I did it pro bono, just out of interest 6 7 really. There was no money changing hands. It is something I'm interested in, something I had experience in, 8 9 so I was happy to do it. MR NEAL: So a labour of love. 10 ASSOC. PROF BARNETT: Most definitely, as well as contributing 11 12 to my - I mean, it does directly contribute to my career and my scientific interest too. 13 14 MR NEAL: Can I take you on in that email chain to the next 15 document that I'm interested in, which is from you to Madeline Morris of 5 September. Do you have that? 16 ASSOC. PROF BARNETT: I don't, actually, but if I just search 17 all my emails to Madeline Morris - just one second - I 18 should be able to get that one. 5 September? 19 MR NEAL: Yes. 20 21 ASSOC. PROF BARNETT: I think I have that one, yes. MR NEAL: It is a document in which you're saying, "Hi, 22 23 Madeline. I have now thoroughly checked my code". ASSOC. PROF BARNETT: Yes. 24 MR NEAL: And that is referring to a formula or a code in some 25 sort of document or analysis that you've already done? 26 27 ASSOC. PROF BARNETT: Yes. 28 MR NEAL: And it goes on to say there is an 89 per cent probability of an increased risk of death during February 29 30 and March, so a 15 per cent - I'm paraphrasing - increase in the typical death rate and then you say at that stage 31 .DTI:KVW 3/9/2015 542

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1 "they don't adjust", that is the figures, "don't adjust for 2 high temperatures, which we know can also increase deaths". 3 ASSOC. PROF BARNETT: Yes. MR NEAL: So the analysis at this stage excludes the cause that 4 5 might be related to high temperature and I take it that the effect of that is to increase the number of deaths that 6 7 you're looking at? 8 ASSOC. PROF BARNETT: Yes. Generally hotter temperatures - both hot and cold temperatures, actually, in Australia increases 9 the risk of death. 10 MR NEAL: Okay. The point I'm pointing out to you there is 11 12 really that not excluding factors such as extremes of 13 temperature shows you a higher death number. 14 ASSOC. PROF BARNETT: First of all I'll just say it is not 15 actually just extreme temperatures that are dangerous. Actually, surprisingly, moderate temperatures can actually 16 be dangerous. But I don't quite follow. Could you 17 18 rephrase? MR NEAL: We're dealing with, I suppose, gross numbers of death 19 not filtering for any factors, in this case not filtering 20 21 for temperature effect. 22 ASSOC. PROF BARNETT: That's right. The first analysis did not 23 adjust for temperature. MR NEAL: If we could move forward, please, to an email of 24 14 September 2014 coming from Michael Gunter to yourself. 25 ASSOC. PROF BARNETT: Yes. 26 27 MR NEAL: Perhaps I should distinguish. There are several possibilities here. Can I take you back to an email from 28 Shaun Murray sent on 12 September 2014 to you, headed Media 29 30 Release. 31 ASSOC. PROF BARNETT: What date was that, sorry?

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1 MR NEAL: That is 12 September, at 2.23 p.m. 2 ASSOC. PROF BARNETT: I can't find that exact one, but I'll 3 search for it and you can carry on. MR NEAL: In terms of the text, it is very short and perhaps the 4 5 point can be shortly made. It starts, "Hi, Adrian. Here is our media release. Any questions/issues?", and then 6 what seems to follow is a draft media release. Is that 7 something you're familiar with now? 8 ASSOC. PROF BARNETT: Yes. 9 MR NEAL: On its face, this is apparently - perhaps I should 10 have asked you to identify Shaun Murray, the sender. 11 12 ASSOC. PROF BARNETT: Shaun Murray, I believe, works for Friends 13 of the Earth. I have never met him personally, only on the 14 phone and via email. 15 MR NEAL: "Our media release", under that subject heading of 16 12 September, "media release" and one sees, "Media release Voices of the Valley", so it is apparent it is a media 17 release for that organisation. That is consistent with 18 your recollection in any event, is it? 19 ASSOC. PROF BARNETT: No. I thought the media release was from 20 21 Voices of the Valley. MR NEAL: I think we're in furious agreement about that. Even 22 23 though Shaun Murray is from Friends of the Earth, the media release is intended to be from Voices of the Valley, yes? 24 ASSOC. PROF BARNETT: That is my understanding. 25 MR NEAL: And you're being asked to check the media release. 26 27 ASSOC. PROF BARNETT: Yes. MR NEAL: Perhaps two things I should clarify with you. You 28 were comfortable with being involved in the media release 29 on behalf of Voices of the Valley? 30 31 ASSOC. PROF BARNETT: Yes. .DTI:KVW 3/9/2015 544

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1 MR NEAL: And presumably you were asked to check for its 2 accuracy insofar as it was making attributions to you? 3 ASSOC. PROF BARNETT: Yes, and any science they had in there. MR NEAL: Could we go forward in time, please, to an email from 4 5 you of December 18 to Wendy Farmer. The subject heading is "re Voices of the Valley data". 6 7 ASSOC. PROF BARNETT: Yes, I think I've got that one. MR NEAL: It simply says, "Hi Wendy", it starts that way. 8 ASSOC. PROF BARNETT: Yes. 9 MR NEAL: This is December 18 of 2014, so after your first 10 report and prior to your second. 11 12 ASSOC. PROF BARNETT: That sounds right. 13 MR NEAL: In your second report, amongst other things, what you 14 do is to increase the number of postcodes you're taking 15 into consideration from four to six. ASSOC. PROF BARNETT: Yes. 16 MR NEAL: And in the email to which I'm drawing attention now, 17 you say, "Hi Wendy. Do you expect an increase in deaths in 18 all six postcodes?" 19 ASSOC. PROF BARNETT: Yes. 20 21 MR NEAL: Why are you asking that question? 22 ASSOC. PROF BARNETT: Because I didn't know what the exposure 23 was like down there, I wasn't down there during the fire, so a priori it was interesting to know whether we'd expect 24 the death risk to increase in every suburb. 25 MR NEAL: Can I suggest to you that, just on reading it, it is 26 27 susceptible to the understanding that if the answer were 28 "no", that those postcodes might not have all been included? 29 ASSOC. PROF BARNETT: No, not at all. 30 31 MR NEAL: In any event, the next document in the chain says,

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1 from Ms Farmer, "I would be surprised if there wasn't. 2 What are you seeing?" And I think you say, "Not run 3 anything yet. Just doing some preparation." ASSOC. PROF BARNETT: Yes. 4 MR NEAL: In any event, all six went in, didn't they, to the 5 subsequent report? 6 ASSOC. PROF BARNETT: They did. 7 MR NEAL: Can I take you then forward quickly to Thursday, 8 22 January and an email from Wendy Farmer to you of that 9 date with the subject heading, "The Voices of the Valley 10 data". Do you have that? 11 12 ASSOC. PROF BARNETT: Again, I think so. MR NEAL: It starts, "We're thinking the anniversary of the 13 14 Hazelwood Mine Fire would be a good time to come out with 15 the new data, February 9th. We could have it ready brief media if needed. What are your thoughts?" And there is 16 also a paragraph saying are you happy for your information 17 to be passed on to Tom Doig, in relation to a book he was 18 publishing. 19 ASSOC. PROF BARNETT: Yes. 20 21 MR NEAL: That is all familiar to you, I take it? ASSOC. PROF BARNETT: Yes. 22 23 MR NEAL: And your answer I think we find at an email from you of 22 January to - I think it must be to Wendy Farmer, 24 which simply says, "Hi Wendy. A great idea to use the 25 anniversary and I'm happy to share the latest results with 26 27 Tom." Do you have that? ASSOC. PROF BARNETT: Yes. 28 MR NEAL: So that is obviously you saying it is a good idea to 29 30 have a release date of data that you're producing that 31 coincides with the fire.

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1 ASSOC. PROF BARNETT: The fire anniversary, yes. 2 MR NEAL: The fire anniversary I should say, yes. Is it fair to 3 say to you at this point, irrespective of how you started off this relationship, that you seem, at this point, to 4 5 become somewhat embedded in a campaign? ASSOC. PROF BARNETT: No, I wouldn't say that. I would say that 6 me saying "a great idea" is based on my experience of 7 8 engaging the media and specifically an example where I ran a study showing that stillbirth increased in Brisbane 9 during hot weather and I felt that was worthy of media 10 attention and tried to get a lot of media attention for it 11 12 and got nowhere and spoke to journalists and they said, 13 "Because it wasn't a hot day when you tried to get this 14 media release out", and I've also been on courses with 15 journalists and they're told - I think the word is to provide a hook, that there has to be some hook for the 16 media. So that statement was just simply saying it is a 17 good idea to do that because you have the anniversary and 18 therefore it will be in people's minds. 19

20 MR NEAL: I'm not disagreeing with anything you just said, I 21 think what I am saying to you is this is fairly interpreted 22 as being you giving strategic advice about how to get media 23 impact on behalf of Voices of the Valley.

ASSOC. PROF BARNETT: I would agree, yes, I gave them strategic advice - well, they came up with the idea, I simply said it was a good idea.

27 MR NEAL: Okay. In saying that, I want to put to you again that 28 at this stage, irrespective of what the relationship might 29 have been, that you are starting to be drawn into the fold, 30 starting to be part of the campaign.

31 ASSOC. PROF BARNETT: No, I wouldn't say that at all. I'd say

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as a scientist I have a reputation that I need to protect and as a bioscientist you're likely to have a very short career. I'm very protective of my reputation. I did work for them for free because I thought this was something of interest and I thought it was of national interest and a worthy investigation.

7 MR NEAL: You also knew, did you not, that the Voices of the 8 Valley had a pretty clear position, which was that there 9 had been an adverse mortality effect as a result of the 10 fire?

11 ASSOC. PROF BARNETT: Yes.

MR NEAL: Can I take you on to the email of 1 February from you to Wendy Farmer which starts, "Hi Wendy. I strongly agree with the health study", et cetera.

15 ASSOC. PROF BARNETT: Yes.

16 MR NEAL: It goes on to say, "In terms of the analysis, we think 17 we should stick with the current one, that uses all the 18 data." So do I understand that to be a reference to

19 keeping on using all the six postcodes?

20 ASSOC. PROF BARNETT: Yes.

21 MR NEAL: And then it goes on to say, "We can still pick out the 22 results for the three postcodes", and I'm not taking you to 23 everything in the chain, but the three postcodes are the 24 three postcodes thought to have the highest mortality

25 rates, are they not?

26 ASSOC. PROF BARNETT: Yes.

MR NEAL: Then you go on to say, "Merging postcodes loses information and also opens up the question of why those postcodes and not other combinations." So I take you to be saying really two things: that keeping a greater number in might give it more statistical strength.

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ASSOC. PROF BARNETT: Yes, I think. The idea also there is as soon as you start to exclude data, you have to have a reason for it, so once we've got this extra data, I saw no reason to exclude it, so the best scientific thing to do is to keep it all in.

MR NEAL: Your comment, "We can still pick out the results for 6 7 the three postcodes" is a comment which is effectively saying we will still give prominence to those postcodes 8 which have the greatest mortality effect, apparently? 9 ASSOC. PROF BARNETT: No. I plotted the relative risks for all 10 11 the six postcodes together in one plot so they could all be 12 compared and then you can compare those ones and you can look at the ones that are above the line and below the 13 14 line.

MR NEAL: That is not a contradiction of the idea, we can still pick out the results for the three postcodes. "Pick out" means give prominence to those three postcodes said to have the greatest mortality effect.

ASSOC. PROF BARNETT: I would say I was presenting all the data for all the postcodes and any postcode could be picked out from the results in the way I presented it.

22 MR NEAL: Your words are, "We can still pick out the results for 23 the three postcodes." Isn't that - just hear me out -24 isn't that by way of saying if we lay out six postcodes 25 don't worry, we'll still give prominence to the three that 26 have the greatest mortality effect?

ASSOC. PROF BARNETT: No, that is not the way I would interpret
that, or that is not the way I intended it to be at all.
MR NEAL: Is it open to that interpretation, do you think?
ASSOC. PROF BARNETT: Possibly. I can't really answer that.
MR NEAL: Can we go on to an email from you of 5 February to

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1 Shaun Murray and Wendy Farmer. "Hi Shaun. I could say",

2 that is the way it starts.

3 ASSOC. PROF BARNETT: Okay, yes.

4 MR NEAL: This is, as I understand it, volunteering a form of
5 wording that might go into a press release.

6 ASSOC. PROF BARNETT: Yes.

7 MR NEAL: In the body of the text you say, "The most important 8 missing information is the cause of death. If the majority of deaths were due to respiratory and cardiovascular 9 disease, then that would provide further evidence that the 10 pollution from the fire was responsible." Can I 11 12 characterise that particular document there as saying at the moment, without the information about cause of death, 13 14 the cogency of the data or analysis you're going to produce 15 is diminished?

ASSOC. PROF BARNETT: No, I wouldn't present it that way. I'd say that we have good evidence at the moment but there are ways to refine it and if you could increase that information, we'd obviously have a better picture.

20 MR NEAL: Cause of death would be a critical one, wouldn't it? 21 ASSOC. PROF BARNETT: I'm not sure I'd call it critical. I 22 think there are plenty of studies in the area that use 23 all-cause mortality and given that most deaths come from 24 cardiovascular and respiratory mortality, there might not 25 actually be that huge a difference between all-cause 26 mortality and these separate causes.

27 MR NEAL: Can we move ahead, please, to an email to you from 28 Cathy Coote, who is from Enviro Justice Org - or whose 29 email address is envirojusticeorg.au. Can you identify 30 her?

31 ASSOC. PROF BARNETT: No. I can't - well, I obviously did email
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her. I presume she works for the Environmental Justice
 Office.

MR NEAL: There is at this point, I think, a media release being considered and you're given a media release for some comment and on 5 February you email, amongst others, Cathy Coote, "My comments attached", which I'm taking to be the media release as you would suggest it might be seen, is that right?

9 ASSOC. PROF BARNETT: Yes.

10 MR NEAL: In the physical documents that we've been provided 11 with, there is a document that seems to be attached which 12 says, "Summary of Associate Professor Adrian Barnett's 13 statistical analysis of deaths relating to the Hazelwood

- 14 Mine Fire." Do you have that?
- 15 ASSOC. PROF BARNETT: Yes.
- 16 MR NEAL: If you drop down the page to the second-last bullet 17 point.

18 ASSOC. PROF BARNETT: Yes.

MR NEAL: Is that a proposed amendment to a draft that you've inserted?

21 ASSOC. PROF BARNETT: Where it says "comment AB-1"?

22 MR NEAL: I hadn't seen it in that form until that moment. Yes, 23 the bullet point starting, "The analysis found".

24 ASSOC. PROF BARNETT: Yes.

25 MR NEAL: "that the biggest impact was in Traralgon, where there 26 was a 94 per cent probability that deaths increased in that 27 suburb during the fire." Just stopping there for a moment, 28 Traralgon not being Morwell, nearest or most proximate to 29 the site of the fire, it might be thought that that is a 30 little counter-intuitive, that Traralgon is showing the 31 greatest effect, would you agree with me that far?

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1 ASSOC. PROF BARNETT: Well, I - sorry, I'm conscious that I

2 should strictly answer the question.

3 MR NEAL: Please do.

4 ASSOC. PROF BARNETT: So I suppose I have to say yes.

5 MR NEAL: In brackets what comes after that is the fact of that 6 being the highest number, you say "which could be because 7 very few Traralgon residents evacuated during the fire and 8 the wind direction was towards Traralgon for a number of 9 days", yes?

10 ASSOC. PROF BARNETT: Yes.

MR NEAL: What was the basis for that information that you had on Traralgon?

ASSOC. PROF BARNETT: I didn't write that statement, but I 13 14 presume maybe the data from the EPA. I mean, in terms of the wider issue, we know that people who can see flames are 15 often much more likely to evacuate, whereas - from my 16 dealings with the Australian public and talking about this 17 with journalists, I think there is an underappreciation 18 that outdoor smoke can actually kill, so it wouldn't 19 surprise me if people who were further away, and maybe not 20 21 exposed to such high doses of smoke, chose not to evacuate. 22 MR NEAL: Is it fair to characterise the paragraph here that I 23 understand you inserted as saying effectively this: we've got a high figure in Traralgon. If that is contrary to the 24 expectation that there will be a greater mortality effect 25 in Morwell, what we can do is to explain that by referring 26 27 to the possibility of because very few people in Traralgon 28 evacuated?

ASSOC. PROF BARNETT: I think that's a potentially plausible argument for the difference between Morwell and Traralgon, yes, I think evacuations are - - -

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1 MR NEAL: This is a press release, so you're advancing reasons 2 why, if you like, Traralgon results, which might be a 3 little counter-hypothetical, can in fact be maintained as valid results? 4 MR ROZEN: Before you answer that, Associate Professor Barnett, 5 I just want to raise a matter about this. It is being put 6 7 to the witness that he inserted this paragraph. What is not clear from the evidence is what part of it is his and 8 what part of it was in the draft that was sent to him and I 9 think in fairness to him, that ought be clarified. 10 MR NEAL: I accept the point. I thought we had, but perhaps we 11 12 need to clarify it again. Associate professor, you heard 13 the point that was raised by Mr Rozen? 14 ASSOC. PROF BARNETT: Yes. I didn't write that paragraph, I 15 merely commented on it. 16 MR NEAL: Perhaps you need to identify for us which are your 17 comments then. ASSOC. PROF BARNETT: In the version I have, I can see a little 18 19 comment box from me and it says, "Is Moe 3825, I would say that 3844 had the next largest increase". 20 21 MR NEAL: Can I ask you, perhaps at that point in this draft that we're looking at, do you have any other substantial 22 23 contributions to it, other than what you have just pointed 24 out? ASSOC. PROF BARNETT: And then I think I have crossed through 25 the second half of the last sentence, "where it is likely 26 27 that eight more people died during the fire than on 28 average". MR NEAL: Can I take you forward then from that document to an 29 email from you of 5 February 2015 at 9.52 am to Wendy 30 31 Farmer. Do you have that?

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1 ASSOC. PROF BARNETT: I can't find that, but again I read them 2 all just last night, so I'm sure if you tell me about it, 3 it will jog my memory.

4 MR NEAL: "Hi all. My comments attached", so I assume this is,
5 in the chain that we're talking about, the draft release,
6 your comments, here they come?

7 ASSOC. PROF BARNETT: That sounds right.

8 MR NEAL: You say in the text, "The constant problem we have in 9 terms of statistics is that this is a relatively small 10 study because of the small population exposed. Obviously this was good news in terms of the disaster, but it does 11 12 make it harder to make conclusive statements. One way to bolster the arguments is to cite the very many larger 13 14 studies that have consistently shown an increased risk of 15 death after exposure to pollution. Why would the Hazelwood fire be any different?" 16

17 ASSOC. PROF BARNETT: Yes.

18 MR NEAL: Can I just pause there and particularly addressing the 19 sentence, "One way to bolster the arguments" and just 20 suggest to you, so that you're clear, that again, if we 21 consider the role of an expert as being someone impartial 22 and independent, that you're crossing the line here and 23 you're advocating for a cause, you're advising people about 24 how they bolster their arguments.

ASSOC. PROF BARNETT: I'm not really sure I agree with that and I would say when I was asked earlier I gave exactly the same answer, when I was asked the key question of whether the deaths were increased by the mine fire, I started with the previous evidence and then I moved on to the current evidence.

31 MR NEAL: I'm not so much talking about what the evidence may or .DTI:KVW 3/9/2015 554 Hazelwood ARMSTRONG/GORDON/BARNETT 1 may not say, I'm talking about the role that you're 2 occupying here, which seems to be a role of expert 3 adviser-cum-consultant-cum-advocate.

ASSOC. PROF BARNETT: No, it never felt like that to me, I've
always felt very straight down the line with the science, I
have never changed any scientific decision that I have felt
was important, I never changed any of my analysis in
reaction to anybody else.

9 MR NEAL: Does the sentiment that you express here, "The constant problem we have in terms of the statistics is that 10 this is a relatively small study because of the small 11 12 population exposed", that sentiment, does that reflect 13 itself in the two analyses that you published? 14 ASSOC. PROF BARNETT: Absolutely not. I mean, for example, the 15 first analysis I did within 24 hours of speaking with Madeline Morris and hadn't, at that point, had any contact 16 with Voices of the Valley, and the second analysis was 17 actually almost entirely the same as the first analysis. 18 MR NEAL: Let's be clear with each other because I'm not sure if 19 we are. What I'm drawing your attention to is the candid 20 comment we see in this email: "The constant problem we 21 have in terms of these statistics is that this is a 22 23 relatively small study because of the small population exposed. Obviously this is good news in terms of the 24 disaster but it does make it harder to make conclusive 25 statements", stopping there. What I asked you then was 26 27 does this candid comment about the limitations, does it find reflection in the words of your two analyses?---Yes, I 28 would say exactly. 29

30 We will come to that. Earlier in your evidence I was asking you 31 about the exchange which was do you know if there were more

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1 deaths in all the six postcodes and the answer was, and I'm 2 paraphrasing, you would be surprised if there wasn't or 3 something like that?

ASSOC PROF. BARNETT: Yes. 4

MR NEAL: Does that identification of where let's call it 5 6 positive data might come from, does that accord with what 7 you would call a rigorous approach to this exercise? 8 ASSOC PROF. BARNETT: I'm not sure what your question is, I think from my point of view getting more data would always 9 be a good thing. 10

That's a slightly different proposition, asking the 11 MR NEAL: 12 Voices of the Valley representative do you expect higher 13 numbers in the all six, so you know the numbers in four but 14 do you expect them higher in all six? To perhaps a cynical 15 reader there is perhaps an element of looking for - having an outcome and looking for data in support of it. 16

ASSOC PROF. BARNETT: No, absolutely not, I think I needed to 17 rely on local knowledge and it is always best to work from 18 a priori a hypothesis, what do you expect, (indistinct) by 19 data and you then see what happens. I mean, I think I 20 21 would add the two suburbs that actually were included actually weakened the overall argument because the death 22 23 rate wasn't so high, you can see I did not exclude those 24 and I kept them in my final analysis.

MR NEAL: And there was a sort of tension there, was there not, 25 because by putting them in you were getting a broader 26 27 statistical population to look at?

ASSOC PROF. BARNETT: Yes, as I said increasing the sample size 28 29 in general would be a good thing, the more data we can get the better study we have. 30

31 MR NEAL: You can put that document to one side for at the .DTI:KVW 3/9/2015 556 Hazelwood

moment. What I wanted to say to you as a result of walking through that correspondence is - and I say this in fairness because it is a view that will be put, if we were to think about the relative independence of the experts that are before the Board of Inquiry you should not be regarded as being at arm's length from the matters you're investigating.

8 ASSOC PROF. BARNETT: I completely disagree with that, I have 9 analysed these as someone who is an expert in air pollution 10 has done multiple studies in this area and I have purely 11 let the data do the talking and presenting the best 12 analysis I could.

MR NEAL: So you don't accept the suggestion that it's unusual for an independent non aligned expert to have the degree of involvement we see manifested from this email?

16 ASSOC PROF. BARNETT: In my experience no, if people ask me for 17 help from the public I'm paid by public money, I'm very

happy to help them with my expertise in any way I can.
MR NEAL: Including strategic advice about how best to get their
campaign in the media.

21 ASSOC PROF. BARNETT: Yes, I did do that.

22 MR NEAL: I will leave the point but what I'm suggesting to you 23 is - and I don't want to embarrass anybody here but if we 24 take Professor Armstrong over there, in terms of your arm's 25 length non partisan role you're a different proposition to 26 him.

ASSOC PROF. BARNETT: I don't feel that at all, no, I feel as if my evidence has been presented in the same scientific way Professor Armstrong would have approached his, he did an analysis to the best of his abilities and presented it and that's exactly what I would have done and what I did.

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1 MR NEAL: Can I ask you about the September 2014 report, the 2 actual analysis you did. That is exhibit 26. ASSOC PROF. BARNETT: Yes. 3 MR NEAL: Just a matter of technicality, I think you describe 4 5 the second of your two analyses as being a working paper, do you regard the first one as being a working paper as 6 7 well? ASSOC PROF. BARNETT: I think you're referring to the fact - so 8 it's on the E-print system as a working paper, is that what 9 you're referring to? 10 MR NEAL: Yes. 11 12 ASSOC PROF. BARNETT: The only reason it's under that category is there is no other category it can go into, if there was 13 14 a category called completed paper I would have put it in 15 there but that was the only one I could post it in to. 16 I think you were asked a question yesterday about MR NEAL: whether or not there has been a published document and you 17 said no but largely because you didn't think there would be 18 an audience of a specific status, publication of a specific 19 status to get it published, is that right? 20 21 ASSOC PROF. BARNETT: That's right. Do you say that the state in which we see the two 22 MR NEAL: 23 documents that they are of the academic and scientific rigour that you would be happy to have published? 24 ASSOC PROF. BARNETT: Most definitely yes. I think if I was 25 sending it to a journal there would be more technical 26 27 detail in there, because I knew this was for public consumption I did reduce slightly the technical areas, for 28 example, I didn't present the equations of a Poisson 29 distribution which I would probably do, but I didn't think 30 31 that would be helpful for the public.

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1 MR NEAL: You acknowledge in your first document, exhibit 26 in 2 the introduction, "I have tried to give as much technical 3 detail as possible whilst still making it understandable to the non specialist reader". 4 ASSOC PROF. BARNETT: Exactly. 5 MR NEAL: It might be a difficult question, do you think you 6 7 actually made that document understandable to the non specialist reader? 8 ASSOC PROF. BARNETT: That is a very difficult question for me 9 to answer, I would hope so, I mean, I hoped I presented the 10 11 statistics and results that were interpretable to all. 12 MR NEAL: Can I take you to page 5 of at first report, exhibit 13 26, and ask you to clarify the very last sentence there: 14 "The figures in the first released analysis quoted 11 deaths rather than 14", do you see that? 15 ASSOC PROF. BARNETT: I don't have that on my page 5, all I 16 17 have on my page 5 is table 2. MR NEAL: Am I the only one in the room looking at the document 18 19 in that form? 20 MRS ROPER: Ours is the same as yours. 21 MR NEAL: The board is seeing the proposition I just read out. ASSOC PROF. BARNETT: Okay, sorry. So in the header bar does 22 23 it have Adrian Barnett December 2014, 24 MR NEAL: September 2014. ASSOC PROF. BARNETT: So looking at the first report then. 25 26 MR NEAL: Yes. 27 ASSOC PROF. BARNETT: Yes, sorry, I was looking at my second 28 report, I have that now, yes. MR NEAL: To what discuss the first release analysis refer? 29 ASSOC PROF. BARNETT: Yes, I think that was just the 30 31 information I gave to Madeleine Morris, so probably

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1 analysis is not the right word there. 2 MR NEAL: That draws attention to the fact "quoted 11 rather 3 than 14, this is because of the request to present absolute deaths was made after the request to adjust for 4 5 temperature." ASSOC. PROF. BARNETT: Yes. 6 7 MR NEAL: And when you adjusted for temperature it came down to 8 11. ASSOC PROF. BARNETT: That's right. 9 MR NEAL: When you refer there to the request to present 10 absolute deaths, from whom did that request come? 11 12 ASSOC PROF. BARNETT: That was from Madeleine Morris, the 13 journalist. 14 MR NEAL: Can you say why the request was to present absolute 15 deaths. 16 ASSOC PROF. BARNETT: Because it's something the public find I think a lot easier to understand than relative deaths. 17 MR NEAL: Dealing with your first report firstly, fairly 18 19 understood what your first report does, and I'm drawing your attention to what's at page 4 under each of the 20 21 tables. ASSOC PROF. BARNETT: Yes. 22 23 MR NEAL: "The probability that the death rate was higher than the AVERAGE during the fire is 0.89, this means the 24 probability that the death rate was not higher than the 25 average during the fire is 0.11." 26 ASSOC PROF. BARNETT: 27 Yes. MR NEAL: "The mean increase in death is as a relative risk 28 1.114 or 14 as a percentage." Stopping there, I'm taking 29 it your language in this document saying the probability 30 31 that death was higher than average during the fire, is .DTI:KVW 3/9/2015 560

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1 really drawing attention to the fact your results are 2 talking about what I would call a temporal coincidence. 3 ASSOC PROF. BARNETT: I think the word coincidence, I would say 4 that word has a sort of special meaning that implies the 5 word chance, so I wouldn't use that word myself. MR NEAL: Okay, that there was a temporal correlation, is what 6 7 you're drawing attention to? 8 ASSOC PROF. BARNETT: Again correlation again has a special meaning in statistics, what it means is two things are 9 10 changing at the same time whereas here I would say we would

always be looking at an association. We know there is a known exposure and we're always looking to see whether that exposure increases death, we're not just look to see whether they happen at the same time.

15 MR NEAL: When you used the expression "higher than average 16 quote during the fire", I'm saying to you no more than what 17 you're exposing you would say on your data taking it at 18 face value is that during the period associated with the 19 fire there seems to have been statistically an increase in 20 the deaths.

ASSOC PROF. BARNETT: Yes, well that probability specifically works from the premise - - -

23 MR NEAL: I'm not asking about the probability, I'm just asking 24 about the fundamental idea.

25 ASSOC PROF. BARNETT: Can you say that again then because it 26 isn't clear.

27 MR NEAL: I'm concerned that it's clear to everybody what 28 you're saying in this report is that at the point I'm 29 referring you to now, that as a result of your analyses you 30 would posit the position there had been an increase in the 31 death rate during the fire and it's an important

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distinction to understand, during the fire.

2 ASSOC PROF. BARNETT: Yes, that probability is based on the two 3 months of the fire.

4 MR NEAL: We have heard a number of people discuss this this 5 morning, but that what I would call increase in a death 6 rate that you posit concurrent with the period of the fire 7 is doing no more than saying there is a concurrency between 8 two events.

9 ASSOC PROF. BARNETT: I see.

MR NEAL: Do you agree, I'm putting to you that's what you're saying, fairly understood that is what you are saying. ASSOC PROF. BARNETT: No, this probability is based on an association that one then causes another, not that two things are merely coincident, this is a regression model analysis and that's the way they work, we have a known cause and we look for an effect.

MR NEAL: Can I ask you whether the wording you chose here which was "during the fire" is happily chosen.

19 ASSOC PROF. BARNETT: I'm happy with that.

20 MR NEAL: Apropos the data you were using at that stage, is it 21 fair to say or can I ask you this in isolation, does the 22 death data include death prior to February 2009?

23 ASSOC PROF. BARNETT: Yes, it does.

24 MR NEAL: And if we were to sophisticate this analysis that 25 shouldn't be so.

ASSOC PROF. BARNETT: Yes, I think I said somewhere in one of my documents that would be one of the easiest and obvious things to do, to exclude those deaths that happened before the fire.

30 MR NEAL: What the data does not appear to do either - can I
31 perhaps go back a step, I think I have used the expression

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1 used here often, crude data, there is a crudeness about the 2 data. 3 ASSOC PROF. BARNETT: Compared to other analysis in this area that used daily data yes, monthly data is relatively crude. 4 5 MR NEAL: At this point discriminating between deaths prior to the fire is a clear exclusion from the data which would 6 7 improve it. 8 ASSOC PROF. BARNETT: Yes, I think that's what we just - that's saying what we just said again, yes. 9 Indeed excluding deaths that were not possibly 10 MR NEAL: 11 related to the fire would be another sophistication of the 12 analysis. 13 ASSOC PROF. BARNETT: Yes, although I would say that it could 14 be quiet challenging and you would have to get an expert to 15 go through every single death because pollution is actually associated with very many causes of death. 16 Also it would be particularly relevant in terms of 17 MR NEAL: the data to understand whether attribution of death to a 18 postcode was meaningful by which I mean one would want to 19 know whether the person associated with the postcode 20 actually was residing in the postcode at any relevant time 21 irrespective of what their residential address was shown 22 23 as, would you agree with that? ASSOC PROF. BARNETT: That would be helpful too, yes, related 24 25 to that you could also include people who were in the area but who were not actually resident. 26 27 MR NEAL: In which case you have to do a different study 28 altogether, as a comparator you're doing postcode to postcode, aren't you? 29 ASSOC PROF. BARNETT: That would be an individual study, yes. 30 31 MR NEAL: My point is that the underlying premise of this

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1 document and many others is let's compare historical 2 postcode information to the relevant period postcode 3 information.

ASSOC PROF. BARNETT: Yes, I would add that's fairly standard.
MR NEAL: I'm not saying it's not, I'm just saying that's a
comparison we make. In this document you're comparing you make the note you're comparing I think 144 observations
you're drawing on 144 observations.

9 ASSOC PROF. BARNETT: Yes.

MR NEAL: Can I understand it this way, your analysis really involves eight observations compared with 136.

12 ASSOC PROF. BARNETT: The eight would be the two months of the fire - - 13 MR NEAL: Yes.

14 ASSOC PROF. BARNETT: Yes, that sounds correct.

15 Is that level of comparison, eight and 136, would you MR NEAL: 16 characterise that as clearly a small sample size? ASSOC PROF. BARNETT: I would say it's difficult to say because 17 it depends entirely on how much information there is each 18 day, so if we had a very large death rate, for example, 19 eight months of data would be quite substantial. So it 20 21 really does depend on the underlying number of deaths. 22 MR NEAL: Well, given what we actually knew here, what I'm 23 suggesting to you is it's a relatively small sample and indeed that's consistent with what you were pointing out in 24 the documentation we have gone through this morning in your 25 conversations with Voices of the Valley. 26

ASSOC PROF. BARNETT: I don't think I could give an adjective to how small the sample is, I would say the proof of the pudding is in the analysis, and to rely on the results rather than going back and saying it isn't a big enough sample, I would just focus on what the sample actually told

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1 us.

2 MR NEAL: Is the point about small sample size that the effect 3 of random variation is a constant problem? ASSOC PROF. BARNETT: I would have to think about that, can you 4 5 say that again? MR NEAL: Is one of the problems associated with a small sample 6 size the random variation can play a significant role? 7 8 ASSOC PROF. BARNETT: So okay, I will try and answer that by saying we always have what we call a signal and a noise, 9 10 and there is the signal which is the truth and that is often obscured by noise, by that random variation if you 11 12 like, and what happens consistently is as sample size increases that signal to noise ratio becomes higher, the 13 14 noise becomes less important, does that answer your 15 question?

16 MR NEAL: It may do in this particular case, what I was suggesting to you was that the sample size we're actually 17 involved with is relatively small and that in that sample 18 size random variation may be problematic, simple as that. 19 ASSOC PROF. BARNETT: Okay, so my answer to that would be we 20 21 can best understand all those issues by looking at the 22 analysis because they are all made explicit in the 23 analysis.

24 MR NEAL: So you would rely on the detail of the analyses to 25 answer that.

26 ASSOC PROF. BARNETT: The analyses includes result size - it 27 answers all those questions, it tells us is there a real 28 increase or isn't there.

29 MR NEAL: In that first report it's often quoted you're saying 30 there was something like an 80 per cent increase in the 31 probability that the death rate was higher than average.

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1 ASSOC PROF. BARNETT: No, not an 80 per cent increase, the 2 probability that the death rate was higher than average is 3 80 per cent. MR NEAL: Sorry, that's what I meant, and the corollary of that 4 5 is there is a 20 per cent chance it wasn't. ASSOC PROF. BARNETT: Exactly. 6 So there is a one in five chance it wasn't. 7 MR NEAL: 8 ASSOC PROF. BARNETT: That's right. In analyses such as these which have significant 9 MR NEAL: 10 consequences attached to them can I suggest to you in a statistical analysis if you have that one in five 11 12 possibility that you're not seeing an effect that you would 13 be going back to the drawing board? 14 ASSOC PROF. BARNETT: No, I think it depends entirely on the 15 context, it's not possible to give a general answer to that 16 question. 17 MR NEAL: Well, I put it to you in this way, in any context where very serious significance is going to be attached to 18 an analysis being it a medical one or a forensic one such 19 as this, at the one in five level what I was putting to you 20 21 is, you would be hesitating to exclude the hypothesis there was an effect but you would be loath to say there was. 22 23 ASSOC PROF. BARNETT: I would think the context was more the prior information we have on the exposure and the 24 biological plausibility that it can increase the risk of 25 death and that prior evidence we have that it can increase 26 27 the risk of death, for me that's the important context, we have that information. 28 So I understand that answer to be you would then go 29 MR NEAL: 30 outside the four corners of the analysis to other bodies of

31 study.

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1 ASSOC PROF. BARNETT: Yes, when I think - the beauty of the 2 analysis as I see it is that we have that 80 per cent 3 probability and that can be interpreted by different people differently, it can be interpreted by members of the 4 Inquiry in a way they see fit, it can be interpreted by you 5 in the way you see fit. 6 7 MR NEAL: Thank you, professor, I have no other questions. 8 MR BLANDEN: I'm not sure if we're dealing only with Associate Professor Barnett or all - - -9 CHAIRMAN: All participants . 10 Thank you. Then I would like to ask some 11 MR BLANDEN: 12 questions of some of the other members of the panel. MS SZYDZIK: I have a couple of questions relating to some of 13 14 the issues that arose, sir. 15 MR NEAL: I have been misleading people perhaps, those are the questions I had for Associate Professor Barnett. 16 17 CHAIRMAN: Continue to ask questions of the other members of 18 the panel. 19 MR NEAL: Thank you. Professor Armstrong, from the panel of experts we have been hearing from, I think you're the only 20 21 one who is both an epidemiologist and medically qualified? PROF. ARMSTRONG: I believe that to be correct, yes. 22 23 MR NEAL: You mentioned in your evidence yesterday when you did 24 the joint report that you assumed the role of chairman. PROF. ARMSTRONG: That is correct. 25 MR NEAL: Now, putting aside natural modesty for a moment is 26 27 that because people recognise your particular status of 28 expertise? PROF. ARMSTRONG: Probably they may have recognised that, I was 29 on the front foot, I decided there was a need to have 30 31 clarity around how the process would be led and being .DTI:KVW 3/9/2015 567

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rather experienced in avoiding wasting time in that kind of process I thought I had better make the proposition I would Chair it and if that was acceptable that would be fine. So how people judge that in terms of my making - I don't know, it was made simply because I saw it as expedient to do something.

7 MR NEAL: It's a dangerous question perhaps but I should ask 8 Professor Gordon, is that a fair analysis as you saw it, 9 Professor Gordon?

10 PROF. GORDON: Yes, it is.

MR NEAL: Can I ask you this, to the extent the joint report was produced, if it contains conclusions that are inconsistent with individual reports we should understand it as now moving on from the individual reports to an agreed position.

16 PROF. ARMSTRONG: That is as I would understand it to be.
17 MR NEAL: Is it also fair to say that from your point of view,
18 Professor Armstrong, that the conclusions you individually
19 reached in your report were very substantially replicated
20 in the joint report?

21 PROF. ARMSTRONG: I think that's a correct representation.

22 MR NEAL: Yesterday I think the question was being raised, this 23 is my paraphrasing of it, of you being asked to comment on, 24 I will call them mechanics of respiratory and

25 cardiovascular disease and I think you declined to do that.
26 PROF. ARMSTRONG: I declined to get down to the detail of the
27 essentially molecular level mechanisms.

28 MR NEAL: You can be assured I wasn't going to ask you about 29 that. In terms of the basic mechanics of respiratory and 30 cardiovascular disease, I think we have already heard 31 evidence from Professor Abramson about that, do you know

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1 Professor Abramson? 2 PROF. ARMSTRONG: I do. 3 I will stand corrected but I think we were discussing MR NEAL: with him the question of would you expect in an emissions 4 5 event like this to see respiratory and cardiovascular, and if both weren't present was that significant, and I am 6 7 broadly paraphrasing, the gist of his answer I think was to say in an event like this you would expect to see 8 respiratory adverse effects because that's the mechanical 9 means by which the emissions are drawn into the body. 10 So are you clear about what I have just put to you? 11 12 PROF. ARMSTRONG: I am clear on what you have just said. Do you agree with that? 13 MR NEAL: 14 PROF. ARMSTRONG: Let me first say that under normal 15 circumstances I would defer completely to Professor Abramson on that as relating to particulate effects, air 16 pollution effects, because that is an area of substantial 17 expertise of his, it is not an area of substantial 18 expertise of mine although I know somewhat of it because 19 I'm a member of the expert advisory panel to the chief 20 21 health officer of New South Wales in respect of air pollution. Having said that, from my own recent study of 22 23 the literature I have seen quite strong indications of a contrary view to the one that you have just put and that is 24 to say that there the dominant effect of air pollution on 25 health has to be seen to be cardiovascular rather than 26 27 respiratory in that acute situation. Can you clarify in that acute - - -28 MR NEAL:

29 In the period immediately following the PROF. ARMSTRONG: 30 exposure.

31 MR NEAL: I think we may have a technical problem. Can I .DTI:KVW 3/9/2015 569 Hazelwood

perhaps put a slightly different thing to you, in an emissions event like the one we're looking at, would both respiratory and cardiovascular adverse outcomes be an expected concomitant?

5 PROF. ARMSTRONG: Again all I can say on recent reading I have 6 done, it would not necessarily be unusual to see 7 cardiovascular effect as the dominant one in the 8 short-term.

So you're speaking in relative terms, but - - -9 MR NEAL: No, I think some of those studies suggest you 10 PROF. ARMSTRONG: would not necessarily expect to see respiratory effects. 11 12 MR NEAL: I wanted to take you to the expert report, but we 13 will have to do it this way as Mr Rozen foreshadowed, the 14 expert report has ultimate conclusions but of course the grounding propositions go back to your document and to 15 understand that we really need to go there, you have all 16 those documents in front of you I take it? 17

18 PROF. ARMSTRONG: I believe so, yes.

19 MR NEAL: This is exhibit 28 and at the bottom of page.

20 PROF. ARMSTRONG: I wonder if we could get the alternative 21 references, so there are tabs in the folder I have and the 22 numbers in that, I don't have anything indexed by exhibits. 23 MRS ROPER: Tab 13.

24 PROF. ARMSTRONG: My report, yes.

MR NEAL: And I was drawing your attention to table 1, just as a technical matter you made a number of corrections yesterday but I wonder whether there is in fact an error in the table. When you look under the rate ratio 2014 to relative to 2009/2013 you have a figure 0.80.

30 PROF. ARMSTRONG: Yes.

31 MR NEAL: It's suggested that figure in fact should be 0.59.

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1 PROF. ARMSTRONG: I don't believe that's that case. 2 That figure is the product of what calculation? MR NEAL: 3 PROF. ARMSTRONG: I would have to take a few moments. Can I suggest it might be completely incorrect but my 4 MR NEAL: 5 understanding was if you took your 0.8 figure - the calculations that are produced in this document depend upon 6 7 say, let's take February to June having 1.05 divided by 1.36 to get the product as a general approach. 8 What I have done in this, these rate ratios 9 PROF. ARMSTRONG: 10 are comparing - so if we take Morwell, February to March 2014 relative to 2009/2013 then we are in each case 11 12 referring to Morwell, if we take a number for Morwell for 2014 and divide it by a number relating to 2009/2013 in 13 14 Morwell we do not take a figure in Morwell and compare it to a figure in Churchill, Moe and Traralgon which is I 15 think what you have just suggested would be the correct 16 thing to do, that is not what I did and it would not be the 17 correct in the circumstances. 18

MR NEAL: I don't want to entertain that at the moment. This first table analysis comes to a conclusion and you comment on this over the page: "Since Morwell was the most exposed of these populations to emissions from the mine fire, the comparative lack of greater mortality in Morwell in 2014, then 2009 to 2013 is inconsistent with Latrobe Valley."

25 PROF. ARMSTRONG: Yes.

26 MR NEAL: That is something that finds expression I think in
27 the joint report.

28 PROF. ARMSTRONG: Yes.

29 MR NEAL: And as to that, I put to you that's worthy of comment 30 because it appears somewhat counter-intuitive.

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31 PROF. ARMSTRONG: That is correct.

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1 MR NEAL: And the intuition, I'm taking it, is there is a close 2 response curve to be expected? 3 PROF. ARMSTRONG: That is correct. And the Morwell figures confound that expectation? 4 MR NEAL: 5 PROF. ARMSTRONG: They are contrary to that expectation. MR NEAL: Contrary, yes, and in the overall analysis that is 6 7 something you weigh in the balance? PROF. ARMSTRONG: Correct. 8 MR NEAL: If we could go to proposition 1.1 which is that there 9 10 is moderate evidence for a higher mortality from all causes and from cardiovascular in the Latrobe Valley in 11 12 February-June 2014 than in the same period 2009-'13. Is the substantial evidence for that in table 2 of your 13 14 report? 15 PROF. ARMSTRONG: Yes, it is. 16 MR NEAL: In that, you have compared all-cause mortality and then respiratory and cardiovascular. The sum evidence to 17 which you're referring of increase in mortality in February 18 to March is the figure of 1 as to .83, is that correct? 19 PROF. ARMSTRONG: From February to March it is indeed the figure 20 21 1 as to 0.83. 22 MR NEAL: If we could convert it to language that is applicable to lawyers at least, we're saying that if you had one death 23 as a model, that in 2009-'13 you would have had 0.83 of a 24 death? 25 PROF. ARMSTRONG: Yes, 17 per cent lower, to make it even 26 27 simpler. MR NEAL: It is additional information. Whether it makes it 28 29 simpler or not, I accept your point. In relation to that apparently increased figure, there is the P-value of .08 30 31 attached to it. 572

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1 PROF. ARMSTRONG: Yes. MR NEAL: I think you said something - I might be misattributing 2 3 - you made a comment yesterday about P-values. PROF. ARMSTRONG: Yes. 4 5 MR NEAL: And the conventional approach, which is to look at .05 and below. 6 7 PROF. ARMSTRONG: That has been the conventional approach. I think it has largely fallen out of favour - it may still be 8 9 somewhere. MR NEAL: When you attribute that P-value, what is it - we're 10 talking about a difference between .83 and 1 and a P-value 11 12 of .08, so what is that really telling us? PROF. ARMSTRONG: In simple terms, it is an assessment of the 13 14 probability that what we observe here, that 17 per cent lower rate in 2009-2013, as there was in 2014, it is the 15 probability that that is simply a chance fluctuation, so it 16 17 is essentially saying there is about a 1 in 12 probability that that is chance. 18 MR NEAL: Looking at February to June, all causes, you have a 19 P-value there or a ratio, however one wants to put it, a 20 21 1 to .9 ratio. PROF. ARMSTRONG: Yes. 22 23 MR NEAL: And a P-value of .04. PROF. ARMSTRONG: Yes. 24 MR NEAL: That is a function - so we've changed the P-values to 25 26 some extent, obviously, by the February to June comparison. 27 PROF. ARMSTRONG: And that's because of the larger numbers in 28 the comparison. MR NEAL: Okay. Can I ask you this about the extension of the 29 30 capture to February-June: I understood your own report to 31 be saying that if there was an increase in deaths by reason 573

.DTI:KVW 3/9/2015 Hazelwood of particulate matter, that one would expect an effect
 which was proximate to the event.

3 PROF. ARMSTRONG: Yes.

- 4 MR NEAL: And that you tested for that, you tested for that5 hypothesis.
- 6 PROF. ARMSTRONG: Well, essentially the February to March one is 7 looking at that, whereas February to June, by including the 8 later periods, is essentially saying was there any evidence 9 that this extended for a longer period of time.
- MR NEAL: In medical terms, my understanding was that if the effect of a sharp increase was to be experienced, February to March would be the better indicator than February to June.

14 PROF. ARMSTRONG: That is correct.

MR NEAL: In the report - we can come to this in due course - in the report there are a number of agreements which emerge based on the February to June assumption of that being a relevant period.

19 PROF. ARMSTRONG: Yes.

20 MR NEAL: Just staying with table 2, when you look at the figure 21 of the respiratory causes, one finds a greater figure in 22 the 2009-2013 than one does in the 2014.

23 PROF. ARMSTRONG: Yes.

24 MR NEAL: Is that somewhat counter-intuitive?

PROF. ARMSTRONG: It is certainly not consistent with the notion that there would be higher respiratory deaths in 2014 than in 2009-13. The evidence for that is not very strong. The P-values would suggest that there we've got, in the case of the February to June one, a 1 in 4 probability of it being a chance finding, and that's also reflected, obviously, in the width of the confidence interval, but that is the

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direction of it, it is in a contrary direction to a
hypothesis that you'd expect to see more respiratory
mortality in the period of the mine fire or in the period
afterwards.

5 MR NEAL: Looking at this table more generally, it starts from
6 an all causes sampling and then descends to subsets.
7 PROF. ARMSTRONG: Yes.

8 MR NEAL: When we descend to subsets, is there an increasing 9 risk statistically of unreliability?

PROF. ARMSTRONG: There is an increasing risk of unreliability because the numbers get smaller and so the probability of chance variation increases and one is also now, if you like, testing multiple hypotheses and as soon as you start to do that, then each one becomes a little less certain than it would be if it were the only hypothesis to be tested.

MR NEAL: If we can move to 1.2, that there is some evidence 17 that the increase in mortality in February to March 2014, 18 the period of the mine fire, was greater than the increase 19 in mortality during February to June. Can I just see if I 20 21 understand that correctly. Does this comment propose that there is an increase over the whole period but that the 22 23 increase in the February to March period - that there is some evidence that the increase in the February to March 24 period is greater than the whole period? 25

- 26 PROF. ARMSTRONG: I don't think it is proposing that, but it is 27 an entirely reasonable interpretation of it.
- 28 MR NEAL: Can I just draw attention to the language there, that 29 there was "some evidence".

30 PROF. ARMSTRONG: Yes.

31 MR NEAL: You may correct me on this, professor, but my

.DTI:KVW 3/9/2015 575 Hazelwood 1 understanding was that the equivalent proposition in your

2 own report was that there was weak evidence.

3 PROF. ARMSTRONG: Yes.

MR NEAL: So just as a matter of perhaps formality, the conclusions that we see in this document, because it was introduced, I think, essentially as being, "Here is what Professor Armstrong has to say. What does everyone else have to say?" In some instances we need to be a bit more nuanced than that because it is not exactly what Professor Armstrong originally did say.

PROF. ARMSTRONG: That would be a fair interpretation, I think.
MR NEAL: And to be clear, it seems to me at page 9 of your
report, at point 4 at the bottom of the page, you're saying
precisely the same proposition but using "weak" rather than
some".

16 PROF. ARMSTRONG: Yes.

MR NEAL: And at page 25, at the top, I think pretty much verbatim the same idea, at point 2, the very top of the document.

20 PROF. ARMSTRONG: Yes.

21 MR NEAL: In relation to that proposition, I understand it that 22 we're effectively relying on, at table 2, the .83 figure 23 that appears in respect of all causes - - -

24 PROF. ARMSTRONG: Yes.

25 MR NEAL: - - - February-March, compared to the 1 as to .9 which 26 appears in February to June.

27 PROF. ARMSTRONG: Correct.

28 MR NEAL: What I wanted to suggest to you was that as evidence 29 for the proposition, what we're looking at here is in fact 30 weak or very weak evidence.

31 PROF. ARMSTRONG: I've described it as "weak", you've chosen to

.DTI:KVW 3/9/2015 Hazelwood describe it as "very weak", I won't disagree with your assessment of it and you maybe won't disagree with mine, just as one says "some evidence", the same deal, no difference.

5 MR NEAL: Perhaps that's the point then because - perhaps my 6 mistake - but reading these documents, I read them very 7 technically and if language changes, I want to understand 8 why. Are you saying to me now you don't mean any

9 particular change?

10 PROF. ARMSTRONG: There was a change made and I think some other 11 members of the group preferred the word "some". I didn't 12 have any disagreement with that because I didn't see it as 13 different to "weak".

MR NEAL: I'm noting the time, sir. I'm just about to change to another - - -

16 CHAIRMAN: I think because of the technical situation, we'll 17 just keep going.

18 MR NEAL: Is it apparent from what you last answered to me that 19 in the course of the joint conference, and don't take it 20 pejoratively, there was some horse trading?

21 PROF. ARMSTRONG: I wouldn't describe it as horse trading, it is 22 simply a case - in the instance we've just observed here, I 23 guess you could say there was a need to complete the job within a reasonable period of time and in that case I felt 24 25 that there was no need to have an argument about whether it was "weak" or "some" because I didn't really see any 26 27 difference between their meanings. Now, if that is horse trading, then it is horse trading, but I wouldn't have 28 called it horse trading personally. 29

30 MR NEAL: Moving to what is said at 1.3, you're referring to
31 Associate Professor Barnett as reporting a 10 per cent

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1 higher mortality in the Latrobe Valley during February and 2 March 2014 relative to that in the same months in 2004-2013. Can I just seek clarification. Is that what 3 his second report is in fact doing or is it doing a 4 comparison of the February to March 2014 with averages 5 drawn from 2004 to 2013? 6 7 PROF. ARMSTRONG: As I read the report that Professor Barnett 8 wrote, I saw that as that basically the comparison was always between the months of February-March and the rest of 9 the months in those years, and this is a statistical model, 10 so talking about averages is really not a correct 11 12 description of what is going on, but basically the comparison is between those particular months and then all 13 14 the other months with which they could be compared. 15 MR NEAL: Does that emerge from a tutored reading of his 16 reports, what you've just expressed to me? PROF. ARMSTRONG: It emerged from my reading of his reports. 17 Ιt 18 may not be - - -19 MR NEAL: I'm calling that tutored, professor, no two ways about 20 it. 21 PROF. ARMSTRONG: Then it is a tutored reading of it. 22 In terms of that 10 per cent mortality increase, what MR NEAL: 23 are you saying, confining ourselves to his report, what do you say is the statistical strength of that proposition? 24 PROF. ARMSTRONG: In the case of his 10 per cent compared with 25 the approximate 10 per cent that we see in table 2 for -26 27 well, in table 2 it is 17 per cent for February to March, 10 per cent for February to June - it is less precise, in 28 the sense that it has a wider confidence interval and I 29 would have seen that as probably due to the inclusion of 30 31 two additional postcodes, both of which, as it happened,

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had quite low mortalities, relative mortalities, during
 that period.

3 MR NEAL: Can I ask you at the top of page 2 of the joint 4 report, there's, and it appears that Professor Gordon is the speaker here, "Based on my own analysis in which the 5 period of potentially different risk is assumed to extend 6 beyond the actual time of the fire, for example May 2014, 7 8 the excess of deaths is statistically significant at conventional levels." Just so I'm clear, that is an 9 10 agreement dependent upon an hypothesis.

11 PROF. ARMSTRONG: Can I just make sure I know what you're 12 reading from.

MR NEAL: Page 2 of the joint expert report, at the very top, the first bullet point.

15 PROF. ARMSTRONG: Am I missing something? Yes, I am. Yes, that 16 is correct. That is a statement from Professor Gordon's -17 well, it is a statement of Professor Gordon's.

18 MR NEAL: The confirmation I was seeking was that it is from, at 19 least from your point of view, an agreement based on an 20 assumption without an expression about the validity of the 21 assumption.

22 PROF. ARMSTRONG: That is the way it is expressed and I'd agree 23 with that.

MR NEAL: Can I just draw your attention to the words "the excess of death is statistically significant at conventional levels." Is it fair to paraphrase that idea as they are interesting outcomes, they are worthy of further investigation?

29 PROF. ARMSTRONG: I think it is simply stating a piece of 30 information that can be used in whatever context is 31 appropriate, I don't think it is limiting it in any way to

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saying that this is only useful for further investigation.
 MR NEAL: Does it include that idea?

3 PROF. ARMSTRONG: It would include that idea.

MR NEAL: Can I ask you more generally when people use that I 4 think term of art, "statically significant", it might have 5 a number of meanings, but will it always at least have the 6 meaning, "That's worthy of further investigation. Given 7 where we've gotten to and given what we've seen, let's not 8 discard that idea, that's perhaps got some viability"? 9 10 PROF. ARMSTRONG: No, I think that misrepresents the common use of that and if we go back to a paradigm that's been 11 referred to on several occasions in the context of a 12 randomised controlled trial that has been well conducted 13 14 and carried out, you get a statistically significant result 15 that says that treatment A is better than treatment B, this will be taken as a reason for preferring treatment A over 16 treatment B, not just for doing another study to see 17 whether it is really true or not. 18

19 MR NEAL: That is the reason why you answered it is

20 contextualised.

21 PROF. ARMSTRONG: Yes, it is why my answer is contextualised, 22 yes.

23 MR NEAL: At 2.1 you are commenting on environmental exposures 24 which might have increased mortality and in particular 25 mortality from all causes in February and March and 26 February to June 2014 was closer to that in the 27 corresponding periods of 2009 than in those for 2009 to 28 2013. Do I understand correctly that would be largely 29 based upon your table 3?

30 PROF. ARMSTRONG: Let me have a look.

31 MR NEAL: Which appears at page 9.

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1 PROF. ARMSTRONG: Yes.

2 MR NEAL: That proposition in itself lends itself to a number of 3 interpretations, does it not?

4 PROF. ARMSTRONG: I assume so. I hadn't been thinking about the
5 number of interpretations, but most things are.

6 MR NEAL: A number of hypotheses. What it appears, amongst 7 other things, to be doing is to show a value in the 2009 8 comparator to the 2014.

9 PROF. ARMSTRONG: Yes.

- 10 MR NEAL: And it shows a fairly close correlation between those 11 two years.
- PROF. ARMSTRONG: It suggests that in particular when one looks at all the data as laid out, that 2014 is more like 2009 than it is to any of the other years in that period, 2009 to 2013, in terms of the mortality patterns, except in respect of cardiovascular disease.

MR NEAL: And in those two years, we know we have a coincidence of high temperatures in the summer period and bushfires. PROF. ARMSTRONG: That is certainly - it was the reason for doing the analysis.

21 MR NEAL: Looking at the joint report and propositions 2.2 and 2.3, 2.2, across the whole period 2009 to 2014, mortality 23 in Latrobe Valley in both February and March and February 24 to June was higher on days when particulate air pollution 25 was greater than or equal to 50 microns per cubic metre of 26 PM 10 than when it was lower.

27 PROF. ARMSTRONG: Yes.

28 MR NEAL: You yourself did some examination of that proposition, 29 did you not, in your report?

30 PROF. ARMSTRONG: I did an examination in my report of the 31 period of the mine fire and this was with respect to

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1	
1	PM 2.5, not PM 10.
2	MR NEAL: Can we go to that, which I understand appears in
3	figure 1 - this is starting at page 11 of your report -
4	figure 2 and figure 3.
5	PROF. ARMSTRONG: Yes.
6	MR NEAL: You have some commentary I think at the end of that
7	discussion, which appears at page 14, at the top.
8	PROF. ARMSTRONG: Yes.
9	MR NEAL: "Overall I have found no evidence in the data on the
10	relationship between PM 2.5 concentration and deaths during
11	the mine fire that PM 2.5 concentrations increased
12	mortality in the Morwell area, where the exposure was
13	greatest, or in the Latrobe Valley."
14	PROF. ARMSTRONG: Yes.
15	MR NEAL: You go on to note that that is at variance with the
16	work of Dr Flander, but staying with your own analysis, is
17	it fair to understand that your testing failed to show an
18	expected correlation?
19	PROF. ARMSTRONG: That is correct.
20	MR NEAL: And is it also correct to say that your testing, as
21	I've referred to it, included the idea of let's not focus
22	on death coincident with a particular measurement but let's
23	allow for a lag period?
24	PROF. ARMSTRONG: One of the analyses, the one that appears in
25	figure 3, I think it is, allowed for a lag period.
26	MR NEAL: And it was pretty much counter-hypothetical.
27	PROF. ARMSTRONG: The same result.
28	MR NEAL: Do I understand then the position to be this: in your
29	own testing of a correlation between higher PM 2.5
30	exposures and mortality, you didn't find a correlation in
31	the sense of death went up with higher exposure?
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1 PROF. ARMSTRONG: That is correct.

2 MR NEAL: But that you were aware that others, Dr Flander in 3 particular, had postulated to the contrary.

PROF. ARMSTRONG: I was certainly aware of that. I think it is 4 5 important, in looking at those two results, to recognise that they're based on quite different analyses and 6 Dr Flander's relates to the full period from 2009 to 2014 7 and that's where she observes that association, so it is 8 based on a much larger volume of data and, secondly, it is 9 a different measurement. Now, in principle you should get 10 roughly the same results with those two measurements, but 11 12 it is a different measurement. So I think the inconsistency is real, but in terms of statistical power, 13 14 there's much more in Dr Flander's analysis than there is in 15 mine.

16 MR NEAL: Is it also open to the interpretation that there may 17 be a difficulty in transferring studies that are not like 18 to like?

19 PROF. ARMSTRONG: Could you elucidate?

20 MR NEAL: Yes, I should. Is it not correct to say that some of 21 the expected correlation, that is an increase, were based 22 substantially on urban air pollution?

23 PROF. ARMSTRONG: That would be urban air pollution in the 24 Latrobe Valley, which is, I guess, a bit different to most 25 urban areas.

- 26 MR NEAL: What I wanted to suggest to you was, without 27 flattering you unduly, there is no reason to discard your 28 own analysis of that correlation.
- 29 PROF. ARMSTRONG: Let me say I think that there is an important 30 weakness in my analysis, and that is in the estimates of 31 exposure. Now, what I did was to take a simple average, on

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1 a period-by-period basis, of all the measurements that were 2 done in the Valley of PM 2.5, so for each day, essentially, so that is based on a variable collection with different 3 areas being weighted differently depending on where the 4 5 measurements were being taken in that particular period, and these are from various points and obviously the way in 6 7 which air pollution spreads across a whole area is quite complex, so there would be potentially quite a lot of error 8 when you're trying to allocate a measure down to a 9 particular day and a particular set of deaths in what I 10 did, much more so, I believe, than in what Dr Flander did, 11 12 because there it is one measurement in one place repeated 13 over many days over a long period of time. And let me say, 14 just to complete the story, when you get measurement error, 15 then associations that might otherwise be present can be obscured, so the consequence of essentially random 16 measurement error is to lead to a lower probability that 17 you will see an association when one is there. 18 19 MR NEAL: At 2.4 there is the consensus position that, "Crude mortality data suggests that mortality from all causes in 20 21 Morwell in February and March and February to June 2014 was little, if at all, greater than in the corresponding 22 23 periods of 2009-2013 in Churchill, Moe and Traralgon. 24 However, crude mortality in these periods was greater than 2009-2013. Since Morwell was the most exposed of the 25 populations to emissions from the mine fire, the 26 27 comparative lack of greater mortality in Morwell in 2014 than 2009-'13 is inconsistent with the mine fire being the 28 cause of greater mortality in the Latrobe Valley." I know 29 there is an added proposition, but in the first instance, 30 31 again we have an agreed position which is counter-intuitive

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1	or counter-hypothetical.
2	PROF. ARMSTRONG: It is inconsistent with the general
3	proposition that the emissions from the mine fire caused an
4	increase in mortality.
5	MR NEAL: And that is a conclusion which I think appears in your
6	own report.
7	PROF. ARMSTRONG: Yes.
8	MR NEAL: And which should be, you would say, put into the
9	bundle of considerations.
10	PROF. ARMSTRONG: Yes.
11	MR NEAL: In 2.5 you draw attention to Associate Professor
12	Barnett's analysis and you say this, "Associate Professor
13	Barnett also observed a lack of increase in mortality in
14	Morwell during February and March 2014 relative to that
15	over the whole period of 2004-2014."
16	PROF. ARMSTRONG: Yes.
17	MR NEAL: The first matter that draws attention is that he
18	observed a lack of increase rather than a decrease. Is
19	there a reason for that?
20	PROF. ARMSTRONG: No, no particular reason.
21	MR NEAL: Does it suggest a hypothesis being worked with which
22	was there was an increase?
23	PROF. ARMSTRONG: I guess that would be a reasonable inference
24	to draw from that.
25	MR NEAL: Can I draw your attention then to the added comment
26	there.
27	PROF. ARMSTRONG: Yes.
28	MR NEAL: And drawing on your recollection as chairman of the
29	joint session, where did that come from?
30	PROF. ARMSTRONG: Let me say I can't now recall the origin of
31	it, except to say that it is certainly a position that I
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1 hold, that is that due to statistical uncertainty of the 2 estimate, a large increase in mortality in Morwell cannot 3 be ruled out, and I may well have said it. Is it also fair to put to you, based on the Barnett 4 MR NEAL: 5 analysis and the confidence intervals there, that you wouldn't want to rule out a large decrease either? 6 7 PROF. ARMSTRONG: Absolutely. It goes in the opposite direction 8 just as readily. MR NEAL: I'm wondering why both those propositions don't 9 10 appear.

PROF. ARMSTRONG: Let me say perhaps for Freudian reasons, that 11 12 one has in mind a particular proposition and it tends to 13 come out, but it certainly was not a deliberate omission or 14 wish to bend the evidence in a particular direction. 15 I'm not suggesting that and I'm not going to go into MR NEAL: any Freudian reasons why it was said, but in any event, you 16 17 have acknowledged that the corollary proposition might 18 equally have appeared.

19 PROF. ARMSTRONG: Absolutely, yes.

20 MR NEAL: Can I ask you in relation to the 2.6 and the carbon 21 monoxide air pollution, the proposition there was no 22 consistent evidence that deaths from all causes or from 23 cardiovascular disease alone during the period of the mine 24 fire were more frequent on days with higher carbon monoxide 25 levels than on days with lower.

26 PROF. ARMSTRONG: Yes.

27 MR NEAL: Counter-intuitive?

28 PROF. ARMSTRONG: Let me say I don't think the evidence for 29 increased levels of environmental carbon monoxide in 30 causing an increase in deaths is anywhere near as strong as 31 it is for the particulates and so it is probably not as

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1 counter-intuitive as the lack of evidence for an increase -2 for death being associated with increased particulates, but 3 clearly it is a toxin, it is a cardiotoxin, although the levels leading to death are generally above those that will 4 occur in this wider environment situation. 5 MR NEAL: Is it your understanding that where the higher carbon 6 7 monoxide levels are being measured, they are being measured 8 as a function of emissions from the fire? PROF. ARMSTRONG: I would assume that that was the case. 9 10 MR NEAL: Is it fair to assume that the carbon monoxide and the 11 particulate matter are probably physically associated? 12 PROF. ARMSTRONG: I can't answer that from a point of any 13 expertise that I have, so I would have to simply say I 14 don't know the answer to that question. I would, though, 15 say that the same problem of disparate measurements in different places at different times and, in this particular 16 circumstance, by using two different techniques is again a 17 problem and leads to uncertainties because of measurement 18 19 error. MR NEAL: At 3.1 you're dealing with the emergency admissions to 20 21 hospitals. Again, might it be fair to suggest that 2014 22 and 2009 are the better comparators here? 23 PROF. ARMSTRONG: It would have been an interesting comparison 24 to make. The data that I had available to me did not include 2009. 25 Interesting because of the first blush sort of 26 MR NEAL: 27 comparability - - -PROF. ARMSTRONG: For the reason that it was seen to be of 28 29 interest with respect to mortality. It would be reasonable 30 to ask the same question of morbidity. 31 MR NEAL: In this respect we are largely relying on your table .DTI:KVW 3/9/2015

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1 5, are we not? That is at page 21. 2 PROF. ARMSTRONG: Yes. 3 MR NEAL: Extracted from that table - perhaps let me ask you this proposition: as a general proposition, given the 4 5 event that we're studying here, are the cardio and the respiratory emergency admissions perhaps a better reference 6 7 point than the all causes are? 8 PROF. ARMSTRONG: That is an interesting question. I think in terms of the impact of the particulates, then you would 9 certainly expect to see them manifest more in 10 11 cardiovascular and respiratory, yes. 12 MR NEAL: When we look at cardiovascular conditions, we have the rate ratio of 1.16. 13 14 PROF. ARMSTRONG: Yes. 15 MR NEAL: And a P-value of .26. PROF. ARMSTRONG: Yes. 16 17 MR NEAL: What is that really saying to us? PROF. ARMSTRONG: It is saying that there was observed a 18 19 16 per cent increase in probability of emergency admission to hospital during that period for cardiovascular disease 20 but, given the numbers, it is uncertain and that there may 21 be no increase. In fact, there could have even been a 22 23 decrease. 24 MR NEAL: Is it consistent with there being a 1 in 4 prospect that it was just a chance variation? 25 PROF. ARMSTRONG: Yes, indeed. 26 27 MR NEAL: And in terms of respiratory, where you have a rate ratio of 1.31 and a P-value of .07 there - now, that is 28 obviously above the magic level. I know you say you 29 shouldn't be - - -30 31 PROF. ARMSTRONG: It is about a 1 in 14 probability of chance.

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.DTI:KVW 3/9/2015 Hazelwood MR NEAL: Does, in this particular context, the lack of increase
 in the zero to the 4 year age group, is that surprising?
 I'm going down to table 6.

PROF. ARMSTRONG: Well, firstly there isn't a lack of increase,
there is a 16 per cent increase but the P-value is high and
it is very important to make the distinction between what
the P-value is telling you and what the number is and what
we're dealing with of course is a relative smaller sample.
MR NEAL: In this case I take your point that statistically the
evidence of increase is weak, very weak.

PROF. ARMSTRONG: Very weak, weak, there is some evidence, all of the above.

13 MR NEAL: You scientists surprise me sometimes.

14 PROF. ARMSTRONG: Epidemiology is not a precise science.

MR NEAL: In your own report you posed for us the question, a two-fold question which if I can say was very helpful and you structured your report - that was the framework for your report.

19 PROF. ARMSTRONG: Yes.

20 MR NEAL: In separating those questions of was there an 21 increase from the second and necessarily subsequent 22 question, how did that increase come about, amongst other 23 things you have drawn attention to the risk of some

24 conflation of the first with the second.

25 PROF. ARMSTRONG: Yes.

26 MR NEAL: When you delivered your report as opposed to the 27 joint expert report, my reading of the report was this, 28 that you said they are the questions we need to answer and 29 when it came to each aggregation of conclusions which you 30 did at the end you deployed the conclusion and brought them 31 to collection.

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1 PROF. ARMSTRONG: Yes.

2 MR NEAL: And you did not in terms propose an answer.

3 PROF. ARMSTRONG: Correct.

4 MR NEAL: Why was that?

5 PROF. ARMSTRONG: Because I thought that was the job of the
6 Inquiry and not mine.

7 MR NEAL: Can I suggest to you that given the evidence you marshall in your report which clearly we have seen has 8 counter-veiling - has tensions going this way, going that 9 way, that deploying all that as you fairly did, tended to 10 expose the problems of leaping to any form of conclusion? 11 12 PROF. ARMSTRONG: I think that's a fair statement, yes. 13 MR NEAL: And could I also suggest this to you, that in terms 14 of the first proposition statistically if you allow that 15 there may have been an increase that it's fair to say in respect of the second proposition, we should not exclude 16 the hypothesis there was a causal relationship with the 17

18 fire.

19 PROF. ARMSTRONG: I think that's fair to say, yes.

20 MR NEAL: Thank you, no other questions of this witness.
21 Professor Gordon, can I clarify with you in answer to a
22 previous question where I stepped out from Professor
23 Armstrong and spoke to you, you're not medically trained
24 and as Professor Armstrong did, you would defer to others
25 on questions of medical mechanics.

26 PROF. GORDON: Yes, I certainly would, the only qualification I 27 would put on that is I did through my experience in the 28 department of community medicine sort of become an 29 epidemiologist, not one even remotely in the same league as 30 Professor Armstrong but I'm a card carrying member of the 31 Australasian Epidemiological Association, for example, and

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1 I have maintained an interest in epidemiology but I 2 certainly have no medical training. 3 So you're a lesser member of a society which is an MR NEAL: 4 imprecise science. 5 PROF. GORDON: That was Professor Armstrong's characterisation of it, the society is not the science to be pedantic. 6 7 MR NEAL: To the extent you made the overnight analysis that 8 you referred to Mr Rozen, you put a number of prudent caveats around that, at the time you had et cetera, et 9 cetera I hear all that. Is part of the premise of that 10 analysis that you took out 11 deaths on the premise that 11 12 they were residential deaths? PROF. GORDON: Well, they would have been included in the 2009 13 14 count of deaths, yes. 15 MR NEAL: And that 2009 count of deaths was related to 16 residents? 17 PROF. GORDON: In the data I had attributed to the postcodes, 18 yes. MR NEAL: So if it's the case that the figure of 11 doesn't 19 relate entirely to residential people you would adjust what 20 21 you did to accord with those that were residents? 22 PROF. GORDON: Yes, I think I said that when I reported my 23 analysis. MR NEAL: You may very well have done. Just another point on 24 that same issue, from what I am going to suggest is a 25 logical point of view, if you have done an analysis which 26 27 excludes a number of deaths, be it 11 or something else, you have done that on the premise that they were let's call 28 them traumatic deaths in the 2014 statistics that you're 29 looking at and comparing to, we can assume that there might 30 31 be also traumatic deaths.

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PROF. GORDON: Yes, but not as I understand it deaths from the
 direct cause of the bushfire.

3 MR NEAL: That's maybe another question for the moment, but if 4 we're leading a body of mortality statistics that are 5 clearly unrelated to a fire event and making a comparison 6 one to one, would it not be logical to have the 2014 7 figures filtered for death events which are clearly not 8 related to the fire?

9 Well, a more refined analysis by cause of death PROF. GORDON: 10 would be desirable, I believe I said that in my first report certainly, but I don't think I quite agree with the 11 12 proposition as you have put it. As I said in my paragraph 13 33 I don't think this is a clear cut question in any case. 14 If I did think it was clear cut I probably would have then 15 done the analysis excluding and including it in my report. So I accept there is an issue there. It's just this 16 pointed issue about well, bushfires in 2009 and 2014, so 17 now we're talking about the effect of a bushfire, not 18 talking about a car crash or other traumatic deaths. We 19 observed that there was a particular outcome from the 20 21 bushfire in 2009 which is quite different from the possibilities that were entertained in the 2014 period, 22 23 namely tragically direct deaths as I understand it, people burned to deaths in their homes as I understand it. 24 25 Therefore if we're saying well, it could have just been the bushfires we have to say hold on, there is quite a 26 27 different causal change of events occurring in 2009 that does not arise in 2014, therefore there is some kind of 28 basis for allowing for that and excluding those deaths in 29 comparison made, and the third thing I say is I did the 30 31 analysis because Mr Rozen asked me to do it.

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1 MR NEAL: I'm not sure how that increases the evidential value 2 but it may do, I will leave that for the board. Mr Rozen 3 fairly points out it was the third reason for the idea. If I can take you to your individual report, professor. At 14 4 5 on page 3 you are advancing a reason why you might extend the catchment beyond February to March, your words there, 6 7 and I think they were repeated in your viva voce evidence yesterday, that it's not hard to envisage scenarios for 8 this, that is the extension is a logical possibility. 9 PROF. GORDON: 10 Yes. Is it a proposition of logic that you're relying on. 11 MR NEAL: 12 PROF. GORDON: I think so but I'm suspicious you're going to 13 tell me it's not. 14 MR NEAL: Don't be suspicious, please, no need. I'm simply asking you you're saying you're putting it forward as a 15 matter of logic. 16 17 PROF. GORDON: I'm certainly saying it's a possibility, logic is the correct adjective, I'm not sure, I will have to 18 think about that very carefully. 19 20 MR NEAL: Perhaps you're saying it occurs to me as a 21 possibility. PROF. GORDON: 22 Yes. 23 MR NEAL: In your table 1 which appears at page 4 you're doing 24 a death analysis extending it over the full period. 25 PROF. GORDON: Yes. 26 MR NEAL: Perhaps I should read what you say there, under the 27 table itself: "The smaller the P-value the stronger the evidence that the 2014 death rates were abnormally high", I 28 don't want to get into a sectarian statistical problem here 29 30 but what do you say about the 0.05 benchmark? 31 PROF. GORDON: I agree with Professor Armstrong, I have spent .DTI:ELV 3/9/2015 593

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half my career trying to dissuade people of the importance of a threshold of 0.05, it's nevertheless true that the direction of the strength of the evidence is the smaller the P-value the stronger the evidence.

5 MR NEAL: In the last sentence you say: "On the basis of the numbers in table 1 of the Flander and English report there 6 7 is quiet strong and statistically significant evidence that the death rates from February to June were abnormally 8 high"; is it also fair to add but due to what seemed to be 9 high P-values in February to March there is statistically 10 weak evidence, that is that we're not seeing something 11 12 abnormal in the scheme of things.

I'm unhappy about the conclusion precisely 13 PROF. GORDON: 14 because of the difficulty with treating 0.05 as a magical 15 threshold, after all there is not a huge difference between 0.06 and 0.02, the ratio for February to March is greater 16 than the ratio for February to June, so from a scientific 17 point of view my perspective is you shouldn't treat the 18 difference between those results, there is a difference 19 between the results, I acknowledge that, but you shouldn't 20 treat it as sharply as you might as if you believed to use 21 your term, that there was something magic about the 22 23 threshold of 0.05.

24 MR NEAL: Accepting that as an idea if we're constructing a 25 spectrum here, it is fair to say in the strength spectrum, 26 my term, the February to June figures are more compelling 27 than the February to March.

28 MR GORTON: On the basis of the P-value value, not on the basis 29 of the rate ratio.

30 MR NEAL: I ask you, and this is in relation to table 2, where 31 you I think essentially are doing a similar analysis, are

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1 you not?

2 PROF. GORDON: Yes.

3 MR NEAL: And you have a confidence interval in this table.4 PROF. GORDON: Yes.

5 MR NEAL: Can I ask you this, was it known to you at the time 6 you constructed tables which were beyond February and March 7 that there were in fact relevantly higher figures in the 8 later months?

9 PROF. GORDON: Sorry, I'm not quite sure what you mean by that, 10 this is all based on Dr Flander's first report so that's 11 what I was operating with.

12 MR NEAL: So actual data.

13 PROF. GORDON: Yes, table 1 of Dr Flander's first report is 14 what I was operating on, so I had that in front of me and 15 in mind.

16 MR NEAL: In this instance when you extend from March to February to June you're doing so in the context of where 17 you already know that in the subsequent months April, May, 18 June, you have statistically significant higher figures. 19 PROF. GORDON: No, I don't believe I knew that when I did it 20 21 because that's not in Dr Flander's report, there is just the table of the observed and predicted, so I didn't know 22 23 how it was going to turn out and quite honestly I was largely framed by that table in terms of what I did, I 24 considered well, they have looked at January to June, I'm 25 going to look at February to June because I consider it 26 27 meaningless to look at January seeing January was before the fire. So I looked at what I considered to be the 28 relevant period from table 1 of Dr Flander's first report. 29 30 Can I ask you this, did you know when you captured MR NEAL: 31 those extra months in mortality numbers you had in some

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1 sense relatively higher figures compared to the historical 2 data. 3 PROF. GORDON: Yes, I did because that was in Dr Flander's 4 report. MR NEAL: So to the extent that in table 2 that P-value reduces 5 from top to bottom. 6 7 PROF. GORDON: Yes. 8 MR NEAL: That was not surprising. PROF. GORDON: No, it wasn't surprising because if the rate 9 ratio was at all approximately maintained it would be 10 inevitable given the larger sample sizes. 11 12 MR NEAL: Yes. At paragraph 28 you say this: "In all these analyses the excess is not markedly unusual according to 13 14 strict conventions of statistical significance in that the P-values are not smaller than 0.05." 15 16 PROF. GORDON: Yes. MR NEAL: So is that another way of saying there is weak 17 evidence that the rate was higher than average during these 18 19 month? PROF. GORDON: Well, I take you back to my previous comment 20 21 about the magical threshold of 0.05, as it were I'm writing paragraph 28 through gritted teeth knowing that people 22 23 looking at this material will say what about the threshold of 0.05 and I'm sort of reluctantly signing up to the 24 convention to some extent in writing that paragraph so to 25 that extent I would agree with your proposition. 26 27 MR NEAL: Can I ask you Professor Gordon similar to the way I was asking Professor Armstrong, in his report which it 28 seems common ground is largely replicated in the joint 29 report, I appreciate there are some nuanced differences, is 30 31 he largely replicated the findings he did in his personal .DTI:ELV 3/9/2015

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1 report? 2 PROF. GORDON: Yes. 3 In that report he deployed all sorts of conclusions MR NEAL: which spoke equivocally about the question of an increase 4 5 in death rate. PROF. GORDON: I'm not quite sure what it means to deploy a 6 7 conclusion. MR NEAL: At the end of his document he aggregated what he 8 understood all the conclusions he had been drawing along 9 the way and put them out. 10 PROF. GORDON: I see. 11 12 MR NEAL: I think he agreed, and I'm interested to know if you 13 do those conclusions on the question of was there increase 14 spoke equivocally, some went this way, some went the other 15 way. PROF. GORDON: Yes, that's true. 16 17 MR NEAL: What I put to him and what I put to you is this, a comfortable position to try and capture your overall view 18 might be this, that if there were some evidence of an 19 increase in the mortality rate in the relevant period that 20 leaves open, leaves viable an hypothesis there was a causal 21 link between the fire and the increase. 22 23 PROF. GORDON: Yes, it does, it allows for the possibility of a causal link, is that what you mean? 24 25 MR NEAL: It allows for it, it leaves that proposition viable, not substantiated but open? 26 27 PROF. GORDON: You mean undecided? 28 MR NEAL: Yes. PROF. GORDON: Well, you have heard our views about that, I 29 think that's a little bit simplistic to characterise it in 30 31 that way when we were asked specifically a question along .DTI:ELV 3/9/2015 597

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1 those lines by Mr Rozen. 2 MR NEAL: Well, are you - - -3 PROF. GORDON: It certainly allows for the hypothesis of 4 course. 5 MR NEAL: I think that is all, thank you. CHAIRMAN: Thank you. 6 7 MR BLANDEN: Professor Armstrong, I have a few questions for 8 you. 9 PROF. ARMSTRONG: No problem. MR BLANDEN: I just wanted to ask you this as a starting point, 10 irrespective of what statistical technique is used in 11 12 relation to numbers of deaths, we have the actual data for deaths in Morwell in the period of the fire, don't we? 13 14 PROF. ARMSTRONG: Yes. 15 MR BLANDEN: And in terms of the actual number of deaths in Morwell during the fire, those numbers are fewer than in 16 17 previous years? 18 PROF. ARMSTRONG: Well, maybe we need to just be clear about 19 what those numbers mean. They will be deaths in people whose usual place of residence was stated to be Morwell or 20 at least in terms of the postcode a resident within that 21 postcode and yes, it's true that they in 2014 were less 22 23 than on average they were from 2009 to 2013. MR BLANDEN: You have indicated I think that the health risks 24 25 that arise from particulate matter exposure are primarily respiratory and cardiovascular issues, is that correct? 26 27 PROF. ARMSTRONG: Yes. MR BLANDEN: I think you indicated to the members of the 28 Inquiry that in fact the expert in that regard, that is the 29 result or effect of such exposure on the population was the 30 31 gentleman we actually heard from yesterday, Professor .DTI:ELV 3/9/2015 598

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1 Abramson. 2 PROF. ARMSTRONG: It is Professor Abramson, yes. 3 MR BLANDEN: Yes. In terms of the figures to do with deaths from cardiovascular or respiratory disease you were asked 4 5 to turn by Mr Neal to 2.1 of the joint report, and I won't take you to it now, but that was based I think you agreed 6 7 on table 3 of your own report. PROF. ARMSTRONG: 8 Yes. 9 MR BLANDEN: And can I ask you to turn to table 3 of your own report, page 9 of that report. 10 11 PROF. ARMSTRONG: Yes. 12 MR BLANDEN: You have in that table posited some figures based 13 on the mortality data for February to June, firstly for 14 deaths from all causes, correct? 15 PROF. ARMSTRONG: Yes. Then death from respiratory causes. 16 MR BLANDEN: 17 PROF. ARMSTRONG: Yes. MR BLANDEN: Then death from cardiovascular causes. 18 19 PROF. ARMSTRONG: Yes. MR BLANDEN: I take it in line with what you have just told us 20 21 it's those latter two components that are primarily the ones you would expect to see as a result of exposure to 22 23 particulate matter. 24 PROF. ARMSTRONG: Let me clarify what I said and that is my 25 understanding of reading recent literature is that I would 26 not necessarily expect to see an increase in respiratory 27 deaths but I would expect to see an increase in 28 cardiovascular deaths. MR BLANDEN: But as you said, Professor Abramson is the man who 29 30 really is the go to person - - -

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31 PROF. ARMSTRONG: Absolutely.

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1 MR BLANDEN: For a view on that issue. If we look down at your 2 figures and I'm looking at the rate ratio at the moment for 3 February to June, do we see, and please tell me if I get this wrong, taking as a comparison to 2014 the years 2009 4 to 2013, does the rate ratio of 1.2 for deaths from 5 respiratory causes indicate there were in fact 20 per cent 6 fewer respiratory deaths in that period compared to 2014. 7 8 PROF. ARMSTRONG: The 1.230 means there were 20 per cent more in 2009/2013 than in 2014. 9

MR BLANDEN: So put the other way around and I think you're quite right, apologies for putting it the wrong way around, 20 per cent fewer deaths from respiratory deaths in 2014 than 2009 to 2013.

14 PROF. ARMSTRONG: Yes.

MR BLANDEN: Then going down 24 deaths from cardiovascular causes there are 20 per cent more cardiovascular deaths in the period 2014 as compared to 2009/2013 approximately.
PROF. ARMSTRONG: Yes.

MR BLANDEN: Looking at those approximate percentages you have 20 20 per cent fewer of one, 20 per cent more of the other 21 adding up conveniently to about one.

PROF. ARMSTRONG: No, because the numbers tend to be different, in practice there are generally more respiratory deaths than cardiovascular deaths, I may be wrong. You can't just add them up, you have to actually have the numbers, you can't add the rate ratios.

27 MR BLANDEN: I wasn't meaning to add the rate ratios but in 28 terms of the percentages, number of deaths from those 29 combined causes, that is respiratory causes and 30 cardiovascular causes seem to be on your table the same in 31 2014 as compared to 2009 to 2013.

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1 PROF. ARMSTRONG: Approximately.

2 MR BLANDEN: Yes. And insofar as the joint report is 3 concerned, I have one question, could you to turn to page 3 for a moment. It's 2.4, you were asked by Mr Neal who 4 added the 2.5 and I think you said you thought it was 5 probably you, I want to ask you the same question in 6 relation to 2.4; that note is added: "However the 7 conclusion doesn't take account of evacuation of some 8 residents from Morwell", who inserted that concept into the 9 discussion? 10

11 PROF. ARMSTRONG: I don't recall.

12 MR BLANDEN: You don't recall. No idea?

13 PROF. ARMSTRONG: I don't think it was me in which case it was 14 Professor Gordon or Professor Barnett or Dr Flander. 15 MR BLANDEN: Someone else who was there, but you would agree 16 there is no data that you had available that would indicate 17 with any certainty what those numbers might be, if there 18 were any?

19 PROF. ARMSTRONG: That's why I didn't mention it in my report, I was aware of the issue but to the point I finalised my report no-one had been able to provide me with any data that would substantiate how extensively or not extensively.
23 MR BLANDEN: So to make allowances for it would fall into the realm of speculation rather than anything else.
25 PROF. ARMSTRONG: To make a precise allowance for it would fall

26 into the realm of speculation, I don't think it's

27 unreasonable to speculate it could have an impact.

28 MR BLANDEN: Thank you.

MS SZYDZIK: Professor Gordon, I have just a few short questions for you. You were asked some questions about in particular two tables in your report, tables 1 and 2,

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1 behind tab 8, on pages 4 and 5. You were asked some 2 questions specifically about the differences in the 3 P-values as between the period February to June compared to February to March. Now when you were being asked those 4 5 questions you noted that as between those two periods the rate ratio increased but the P-value decreased. 6 Ι 7 understood from your evidence yesterday that P-value is linked directly to the size of the data set, is that 8 9 correct?

10 PROF. GORDON: Yes.

MS SZYDZIK: So my question to you is are you able to say if the size of the data set in fact explains or in part explains the reduction or change in P-value as between those two time periods?

15 PROF. GORDON: Yes, I am able to say that.

16 MS SZYDZIK: Can you elaborate on that for us, please. If you look at table 2 for example, the rate 17 PROF. GORDON: 18 ratio of 1.2 for February to March 2014 is larger than the rate ratio February to June 2014, 1.17, so 1.20 is larger 19 than 1.1 7. So what we call in statistics the point 20 21 estimate, the best single estimate of what's going on is larger in February to March 2014 than February to June 22 23 2014. But in contrast to that the P-value is smaller for February to June 2014 than for February to March 2014 and 24 that is a simple consequence of the larger sample size for 25 February to June 2014. Of course it doesn't have to 26 27 inevitably turn out that way in a sense the P-value is a function of both the size of the rate ratio and of the 28 sample size. 29

30 MS SZYDZIK: Are you able to recall in this case which it 31 relates to or to what extent?

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1 MR GORTON: It relates to both of them always.

2 MS SZYDZIK: Linked in with that is the conclusions that were 3 found at paragraphs 1.1 and 1.2 of the joint report so if I 4 could take you to that now, behind tab 15.

5 PROF. GORDON: Yes.

6 MS SZYDZIK: And so there has been some discussion about this 7 today in particular 1.2 and the language that has been used there, given there is that higher rate ratio for the 8 February to March period compared to the February to June 9 period yet we see the language of moderate evidence in 10 paragraph 1.1 and some evidence or however otherwise put 11 12 today in 1.2; are you able to elaborate upon the different 13 use in language then in those two paragraph and why it's 14 like that.

15 PROF. GORDON: Yes, I am from my own perspective.

16 MS SZYDZIK: Please do.

17 PROF. GORDON: I recall the discussion of this very 18 specifically, Professor Armstrong had the wording there as 19 weak evidence ... and there was concern among the group that that could lead to a misinterpretation of the intended 20 21 meaning of what Professor Armstrong had written with a direct comparison between the moderate and weak, so 22 23 moderate for February to June 2014, weak from February to March 2014, whereas that was not the intended meaning of 24 the statement in the first place in Professor Armstrong's 25 report as I understood it, he can contradict me if he 26 27 disagrees with this but rather how a comparison of February to March with February to June. So not two absolute 28 statements but the second one is a relative statement. 29 The first one is an absolute statement, February to June, the 30 31 second one is a relative statement about February to March

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1 compared to February to June which is the way it reads if 2 you read it. But the concern there could be some risk of 3 misinterpretation of 1.2 is what led in my view to the substitution of some for weak, and in discussion with 4 5 Professor Armstrong about that he said well, it's okay with me, I accept some is a legitimate word to use and he had no 6 7 problem using that adjective and for removal of the potential ambiguity of the interpretation some members of 8 the group including me were happier with that word than 9 weak for that reason. 10

11 MS SZYDZIK: There were also questions asked of Professor 12 Armstrong in relation to the use of the phrase 13 statistically significant in a comment concluded underneath 14 the conclusion of paragraph 1.3, that's on page 2 of the 15 joint report, are you able to explain how you would 16 interpret or use the phrase statistically significant, what 17 it means for you.

18 PROF. ARMSTRONG: In that point there at the top of page 2 it 19 really means nothing is more than the P-value of 0.05 20 because the relevant phrase there is conventional levels, 21 it's included for that reason.

MS SZYDZIK: Thank you, they are all the questions I have for you Professor Gordon. I have a couple of questions in relation to cross-examination of Associate Professor Barnett.

26 CHAIRMAN: I think we have him here on Skype, yes.

MS SZYDZIK: Thank you, associate professor. You were asked a number of questions about interactions that you had with Voices of the Valley and others and to what extent then that influenced your views on this issue. I wondered, if possible, if I could just ask you some questions about your

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1 CV. We'll see how those two things relate in a moment. I 2 understand that you have provided your CV to the board and 3 that that is included, certainly for our part, behind tab 9 of the Inquiry book, annexed to your second report. 4 5 ASSOC. PROF BARNETT: Yes. MS SZYDZIK: Your CV, am I right, runs for 23 pages? 6 7 ASSOC. PROF BARNETT: Yes.

8 MS SZYDZIK: At the start you obviously set out your various 9 degrees and your education, your employment, research, but 10 then starting on page 3 you set out the peer reviewed 11 papers that you have prepared or been involved in being an 12 author of. That runs for some 161 peer reviewed papers. 13 ASSOC. PROF BARNETT: Yes.

14 MS SZYDZIK: The final one is on page 13.

15 ASSOC. PROF BARNETT: Yes.

16 MS SZYDZIK: You then have editorials and letters, conference 17 papers, reports, other book details and then skipping over, there are various grants, research funding, research 18 students that you've supervised, international visitors, 19 20 and we keep going on, but if we drop down to the final page, and that is page 23, professional activity, we also 21 see there that you have been a participant in, for example, 22 23 the expert review of the Queensland Greens document on the health effects of air pollution, expert advisory - I'll ask 24 25 you to agree or disagree with me there.

26 ASSOC. PROF BARNETT: Yes.

- MS SZYDZIK: Expert advisory panel for the Queensland EvaluationGroup, evaluating submissions for the Australian
- 29 Pharmaceutical Benefits Scheme.

30 ASSOC. PROF BARNETT: Yes.

31 MS SZYDZIK: I won't go through all of them, but in short, you

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are a very experienced and distinguished academic,

2 Associate Professor Barnett?

3 ASSOC. PROF BARNETT: I would like to say so, yes.

MS SZYDZIK: Thank you, associate professor. And is it right to 4 say that in all of those research and academic endeavours -5 sorry, I'll ask. In all of those research and academic 6 7 endeavours, can you please tell me how highly you have regarded academic integrity and academic independence. 8 9 ASSOC. PROF BARNETT: I would say it is the most important 10 thing, the thing that I am always conscious of in all of my 11 work.

12 MS SZYDZIK: When you were undertaking the work that you did, 13 originally approached by the ABC and then when you were 14 dealing with Voices of the Valley, did you approach that 15 task with that same level of rigour in terms of

16 independence and integrity?

17 ASSOC. PROF BARNETT: Absolutely.

18 MS SZYDZIK: No further questions.

19 CHAIRMAN: Yes, Mr Rozen.

20 MR ROZEN: Three brief matters, if I may, firstly for Associate 21 Professor Barnett. Just picking up on the last questions 22 that you were asked, at any time in your communications 23 with Voices of the Valley, did they ask you to change the 24 wording of your reports?

25 ASSOC. PROF BARNETT: No.

MR ROZEN: Professor Armstrong, if I could ask you a question about clause 2.4 of the joint report, please - I'm sorry, 2.5, where the observation is made that in Associate Professor Barnett's second report there's an observation about the lack of increase or decrease in mortality in Morwell during February and March 2014 relative to the

.DTI:KVW 3/9/2015 Hazelwood 1 period 2004-'14 and then it is the added words that I want 2 to ask you about, "It is acknowledged that due to the 3 statistical uncertainty of this estimate, a large increase in mortality cannot be ruled out." 4

5 PROF. ARMSTRONG: Yes.

- MR ROZEN: Is that a reference to page 5 of your report, and 6 7 specifically table 1? I'm specifically drawing your attention to the P-values in the right-hand column 8 concerning the Morwell figures. 9
- PROF. ARMSTRONG: It is a reference in fact, I think, to the 10 result in Professor Barnett's paper, but it is not very 11 12 different to mine and what you observe there with the 95 per cent confidence interval, while we have a rate 13 14 ratio - the point estimate, as Professor Gordon referred to it as - of 0.80, the confidence interval ranges from .51, 15 so it could be as low as that, reasonably as low as that, 16 17 up to 1.26 and it could be reasonably as high as that and our discussion and our reason for making that statement was 18 that it could be as much as 26 per cent higher in Morwell 19 in 2014 than in 2009-'13, on the basis of the upper 20 95 per cent confidence bound, that is the uncertainty we're 21 talking about and the potential range of values that could 22 23 be under consideration.

MR ROZEN: Of all the statistics that are before the board, that 24 25 is one which stands out as being - I'll carefully choose my Is "unreliable" the right word or open to - - -26 words. 27 PROF. ARMSTRONG: "Imprecise" is the correct word. 28 MR ROZEN: Thank you. And it is based on the 95 per cent

confidence interval and the P-value that that conclusion 29 30 was reached?

31 PROF. ARMSTRONG: That range is entirely in the 95 per cent .DTI:KVW 3/9/2015 607 Hazelwood

1 confidence interval. The two do reflect each other to some 2 degree, but I - and I don't know whether Professor Gordon 3 agrees with me - have an aversion to people using confidence intervals as if they were P-values. 4 5 MR ROZEN: Is there anything, Professor Gordon, that you'd like to add to that or do you agree? 6 7 PROF. GORDON: No, I'm in furious agreement with Professor 8 Armstrong on that point. He is not only an eminent epidemiologist, he is a very good statistician as well, 9 don't worry about that. 10 MR ROZEN: Lots of praise coming Professor Armstrong's way 11 12 today. One final matter, and I'll start with Professor Armstrong, a lot of questions you were asked by my learned 13 14 Mr Neal to my left during the course of today. Do we take anything that was put to you by Mr Neal, and the answers 15 that you gave, as detracting from the evidence you gave 16 17 earlier today in questions that I asked you? PROF. ARMSTRONG: I don't believe so. 18 19 MR ROZEN: I'll ask you the same question, Professor Gordon. PROF. GORDON: No. 20 21 MR ROZEN: Associate Professor Barnett? ASSOC. PROF BARNETT: No. 22 23 MR ROZEN: That concludes the questioning of the experts, if the 24 board pleases. Thank you, Mr Rozen. It is perhaps appropriate that 25 CHAIRMAN: 26 I make some closing remarks - - -27 MR ROZEN: Just before you do, sir, there is a matter of some documents which need to be tendered. 28 CHAIRMAN: This will be the time. 29 30 MR ROZEN: Ms Shann will do that as quickly as we're able to. 31 MS SHANN: Perhaps the experts could be excused, unless they .DTI:KVW 3/9/2015 608

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1 wish to hear the tendering of the - - -2 CHAIRMAN: I think that is probably right, but I do want to 3 thank you and that includes Associate Professor Barnett and also the two professors at the table. This has been, in 4 5 one sense, a very difficult exercise generally but it has put you in a situation where we've had to take all of you 6 7 through a process that is, regrettably, very long. So I do excuse you and I do thank you very much for your 8 participation in the way that you have participated over 9 10 the last two days and, of course, in the lead-up to today's proceedings, so thank you very much. 11 12 < (THE WITNESSES WITHDREW) CHAIRMAN: I will now handball it back to Ms Shann and then come 13 14 back to making some more general words of thanks and 15 provision of information. 16 MS SHANN: Thank you. I expect this will take only five minutes. There is seven exhibits to add to what is already 17 18 there. 19 CHAIRMAN: Yes. MS SHANN: I'll take the board by reference to tabs and then the 20 21 document numbers. The first is behind tab 16, EXP.0006.001.001. There is firstly a report of a 22 23 Dr Jonathan Burdon entitled, "The respiratory effects of smoke, fume and other particular inhalation" and attached 24 to that a CV, and I tender that. 25 #EXHIBIT 32 - EXP.0006.001.001 26 MR BLANDEN: If the board pleases, just as part of that tender, 27 it is not a dated document and we don't have any documents 28 which invite its compilation either and I'm wondering if we 29 could ask those assisting to look into those matters and 30 31 perhaps provide us with whatever further information there .DTI:KVW 3/9/2015 609 DISCUSSION Hazelwood

1 is to explain its existence and how it came into being and 2 when it was done.

3 MS SHANN: We're more than happy to deal with that and 4 Ms Stansen, the principal legal adviser, will perhaps be 5 the person to liaise with.

6 CHAIRMAN: Yes. I'll leave that to the secretariat.
7 MS SHANN: The next document is behind tab 8, DOC.0001.001.0001.
8 This is a determination following a request to investigate
9 a fire from the Coroner's Court and if I may, by way of

10 brief overview, explain the document. It is dated 11 November 2014 and was a response by the Coroner's Court 11 12 to a request by the United Firefighters Union of Australia, Occupy Latrobe Valley and Voices of the Valley to 13 investigate this issue which is before the board. 14 The 15 coroner declined to do so, on the basis of duplication, for three reasons: firstly, that it was already the subject of 16 investigation by the first Hazelwood Mine Fire Inquiry and 17 that any deficiency in providing death data to the first 18 Inquiry was a deficiency of Voices of the Valley's own 19 making, and the board has heard some evidence about the 20 21 timing of that from Ms Sims. The second reason is that the 22 Department of Health and Human Services had already 23 considered the issue and the third, that the long-term health study would also answer the question. If I could 24

25 tender that document.

26 #EXHIBIT 33 - DOC.0001.001.0001

27 The next two documents, which could form a single exhibit, are 28 behind tabs 19 and 20, DOC.0001.001.0011 and 29 DOC.0001.001.0013. These are two letters from the coroner

30 to the Board of Inquiry and in essence indicate that, in
31 response to a request from the board, the court was unable

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1 to locate any single example of a death investigated or 2 considered by the coroner where there was either an 3 allegation or a finding of a link to the mine fire, and for completeness I note that in part of the public submissions 4 there is at least one example of notification to the 5 coroner of in fact a specific example and that is a public 6 submission available on the website and that is a 7 Ms Clissold's submission, and there is some correspondence 8 with the coroner which is attached to that submission. 9 If 10 I could tender those. #EXHIBIT 34 - DOC.0001.001.0011 and DOC.0001.001.0013 11 12 Behind tab 23, at VGSO.1013.001.0001, is a letter from Victorian Government Solicitor's Office to the board dated 9 July 13 14 2015 which sets out the various steps that the Department 15 of Health and Human Services undertook in response to this issue and attaches some documents which are already before 16 the board but also, behind tab 24, some data, including 17 breakdowns of deaths by date and admission data relevant to 18 the morbidity question and if I could tender that as a 19 bundle. 20 #EXHIBIT 35 - VGSO.1013.001.0001 & DHHS.1001.001.0931. 21 22 There is some further data which was provided attached to the 23 letter from VGSO, but it has that same sensitivity already discussed, identifying people, so it won't be part of that 24

tendered document. 25

Finally, behind tab 35, at DHHS.1008.001.0114, is a 26 document titled Minutes Hazelwood Study Contract Steering 27 Committee which, at pages 4 and 5, deals with the issue of 28 inclusion or exclusion of firefighters and other emergency 29 responders to the long-term health study and, as I 30 31 understand it, there is some enquiries which will be made .DTI:KVW 3/9/2015

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on behalf of the board about the issue of what other
 studies might exist to cover that particular group in
 reference to the issues raised by Professor Abramson.

Just for the assistance of the parties, we will also be following up the reports requested by Mr Neal of Associate Professor Barnett, that he's made some reference to this morning, and provide whatever we can obtain to all of the parties.

9 #EXHIBIT 36 - DHHS.1008.001.0114

10 CHAIRMAN: That is all?

11 MS SHANN: That is all.

MR ROZEN: I think all that remains is for the board to make the closing remarks that were referred to and also to program the remainder of this hearing, in particular the anticipated date for submissions next Wednesday,

16 9 September.

17 CHAIRMAN: If you think that I haven't included in what I'm 18 about to say those further matters, you can say so then.

There is a number of areas where we need to thank 19 people. I have already thanked the people who have taken 20 21 part as witnesses in relation to the expert session, which 22 has gone for a considerable length of time, but it is also 23 appropriate to thank the witnesses in the earlier part of 24 the hearings. It is also appropriate to thank counsel and those who have been assisting counsel and the parties for 25 their cooperation in these public hearings. It was a major 26 27 purpose of our having these in this setting to give the opportunity for those witnesses to be seen by the locals, 28 if I can express it in those terms, particularly when it 29 comes to the matter of how they are tested, so it is a 30 31 somewhat legal environment, but I think it should be

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1 appreciated that by doing that, you get some idea of the 2 amount of work that has been done to get ready for today 3 and insofar as we've been able to have the information, the evidence out there, the testing of that evidence and done 4 so in a way that enables the local community to understand 5 what that is all about, we're grateful for the cooperation 6 that you've shown and for you being in attendance on so 7 many occasions. 8

9 So far as information is concerned, the closing 10 submissions are to be made next Wednesday here at Kernot 11 Hall and we again invite people who are interested to 12 attend for those submissions.

13 The transcripts of the hearings will be posted on the 14 website and ultimately the board's findings in relation to 15 reference 6 will be published in December of this year as 16 part of the second volume of our 2015/16 report.

We thank those other people who are not present who have provided hospitality to us and the local organisations that have also looked after us during the period that we've been here.

So with a final word of thanks, unless Mr Rozen wants to add anything more - he is shaking his head - is to really just repeat the thanks for all concerned that I mentioned earlier for participation over the past three days and if there is nothing more, I'll adjourn until next Wednesday.

## 27 ADJOURNED UNTIL WEDNESDAY 9 SEPTEMBER 2015

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